



Forest Carbon and Climate Program  
Department of Forestry  
MICHIGAN STATE UNIVERSITY



# State and Tribal Capacity Building on Forest Carbon

## *Forest Carbon and Climate Change in New York*

This technical briefing summarizes topics such as forest densities and cover types, carbon storage, and climate considerations for the state of New York.

This technical briefing was made possible by funding from Penn Soil Resource Conservation and Development Council under a cooperative agreement with the U.S. Department of Agriculture, Forest Service.



**EASTERN REGION**

## Table of Contents

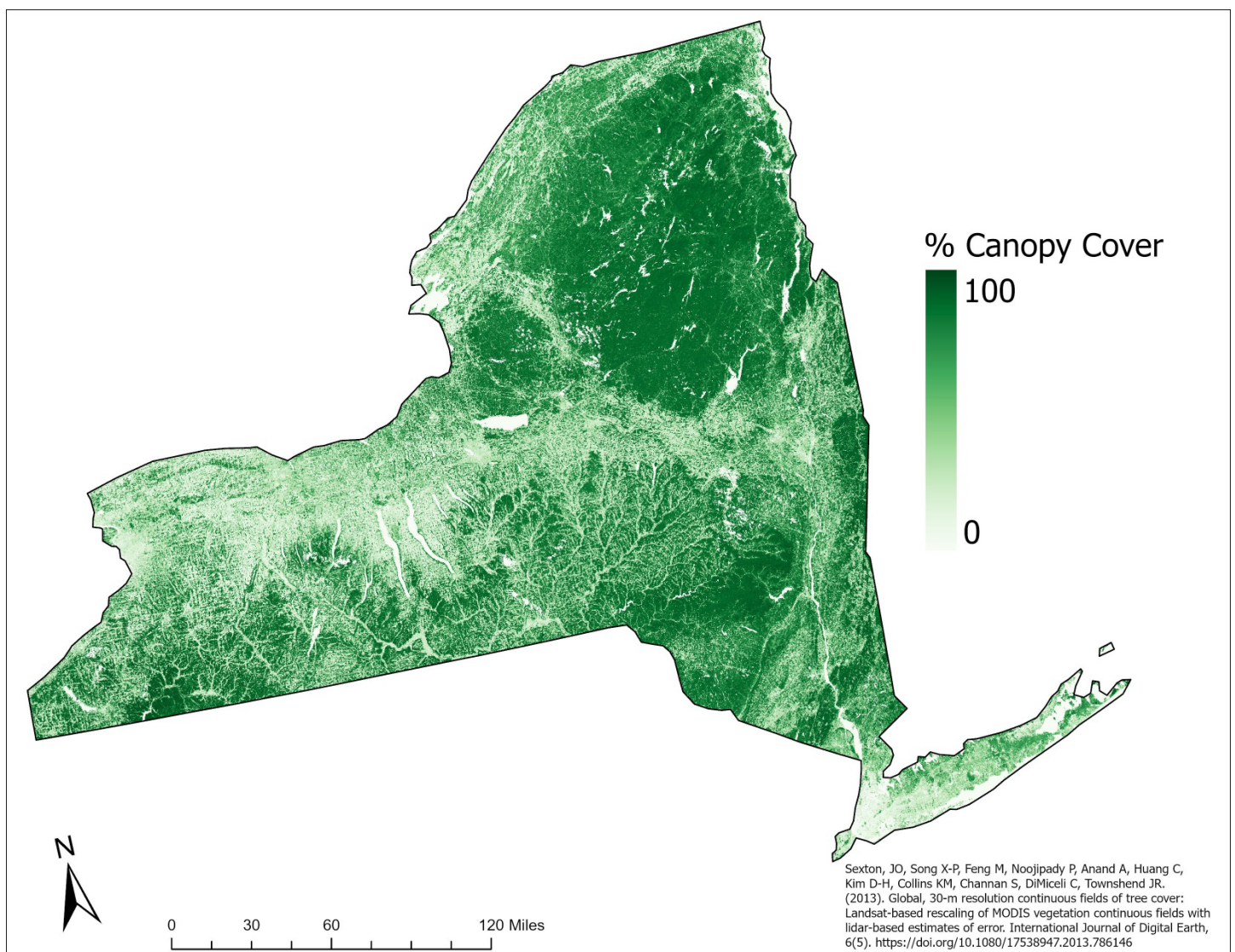
New York Forest Overview .....	2
Temperature and Precipitation .....	3
Projected Future Trends in Temperature / Precipitation.....	4
Forest Density .....	5
Forest Cover Types and Carbon.....	6
Forest Carbon Pools.....	7
Forest Carbon Density.....	8
Species-Specific Considerations for Climate Adaptation.....	9
Habitat Suitability and Migration Models .....	10
Adaptability Ratings.....	11
Climate Change Atlas Summary for Sugar Maple.....	11
Citations: .....	12

## New York Forest Overview

New York is situated along the east coast of the United States and lies within the US Forest Service's Eastern Region (USFS Region 9). Bordering states include Pennsylvania and New Jersey to the south, Connecticut, Massachusetts, and Vermont to the east, Lake Erie, Lake Ontario and the Canadian provinces of Ontario and Québec to the north, with the Atlantic Ocean marking the boundaries of New York's many southeastern islands, which include Manhattan and Long Island.

A map of percent tree canopy cover in New York is shown in **Figure 1**. This state has variable forest coverage across its extent, with lower levels of canopy cover observed along an east-west transect through the center of the state and in its southeastern islands. Areas of reduced coverage coincide with high-density urban centers and agricultural land use. The highest levels of forest cover occur in northeast portion of the state in the Adirondack Mountain region, as well as in the Catskill Mountain region in toward the state's southeast.

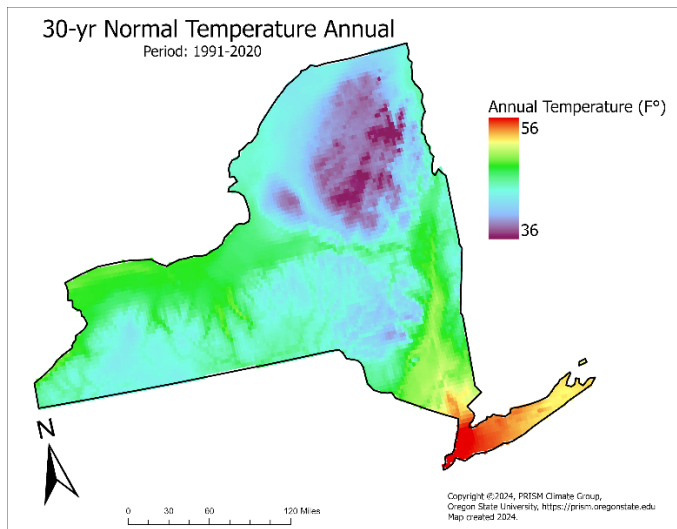
**Figure 1.** Percent tree canopy cover in New York.



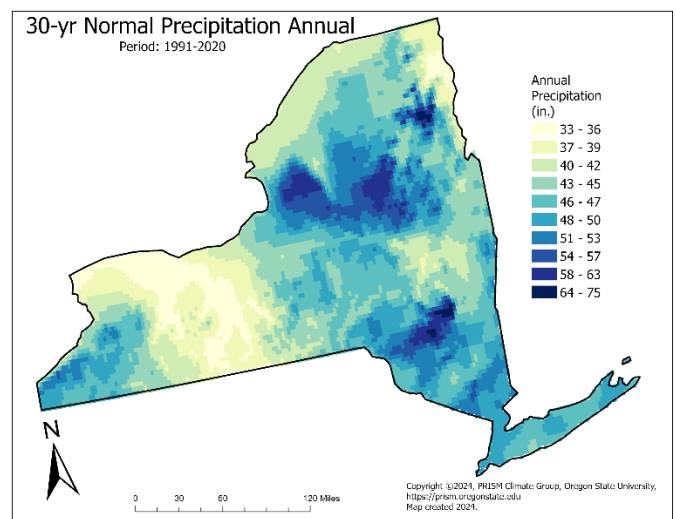
## Temperature and Precipitation

Two major factors affecting forest carbon and productivity are temperature and precipitation. **Figure 2** shows normal mean temperatures throughout New York between 1991 and 2020. Over this 30-year period, mean annual temperatures varied by about 20 °F across this state. Temperature trends largely follow latitudinal gradients, with warmer mean temperatures occurring in the southernmost portions of the state and giving way to cooler temperatures to the north. The warmest mean annual temperature is around 56 °F and occurs in the southeasternmost region of New York, while the coolest mean annual temperature is around 36 °F in the northeast portion of the state coinciding with higher elevations of the Adirondack Mountains.

**Figure 2.** Normal mean temperature (°F) from 1991–2020 in New York.



**Figure 3.** Normal mean precipitation (in.) from 1991-2020 in New York.



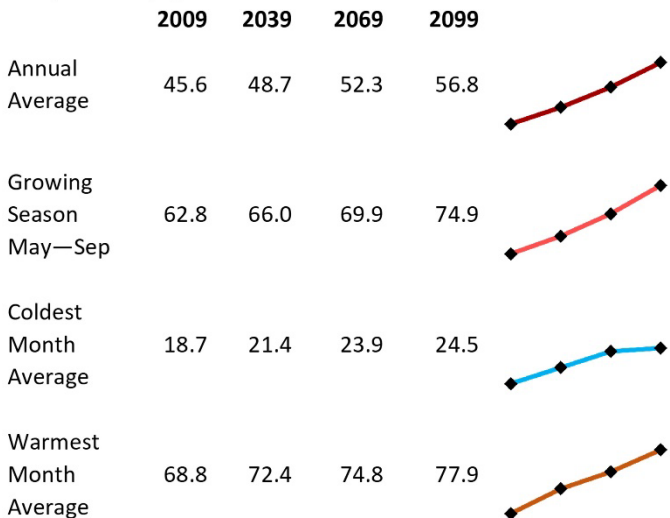
**Figure 3** shows normal mean precipitation throughout New York between 1991 and 2020 and demonstrates the geographic variation in these trends. Over this 30-year period, mean annual precipitation levels varied by about 42 in. Areas that receive the lowest levels of precipitation (33-36 in.) occur in the western portion of the state. Areas receiving the highest amounts of precipitation (64-75 in.) occur in the Catskill Mountains and in the southern portion of the Adirondacks.

# Projected Future Trends in Temperature / Precipitation

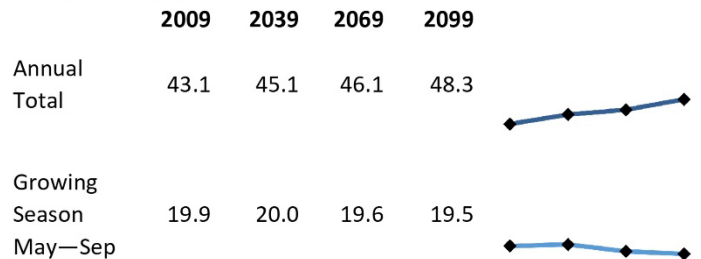
**Figure 4.** Model results for potential changes in temperature and precipitation trends in New York through 2099 under a high emission scenario (RCP 8.5).

## Potential Changes in Climate Variables

### Temperature (°F)



### Precipitation (in)



**NOTE:** For the six climate variables, four 30-year periods are used to indicate six potential future trajectories. The period ending in 2009 is based on modeled observations from the PRISM Climate Group and the three future periods were obtained from the NASA NEX-DCP30 dataset. Future climate projections show estimates of each climate variable within the region for the average of the CCSM4, GFDL CM3, and HADGEM2-ES models under RCP 8.5 emission scenario. The average value for the region is reported, even though locations within the region may vary substantially based on latitude, elevation, land-use, or other factors.

**Citation:** Iverson, L.R.; Prasad, A.M.; Peters, M.P.; Matthews, S.N. 2019. Facilitating Adaptive Forest Management under Climate Change: A Spatially Specific Synthesis of 125 Species for Habitat Changes and Assisted Migration over the Eastern United States. *Forests*. 10(11): 989. <https://doi.org/10.3390/f10110989>

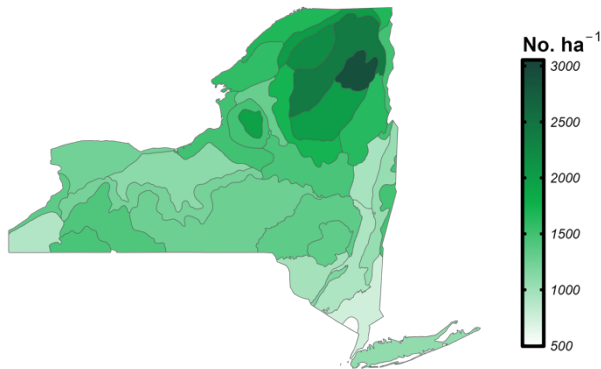
Projected future trends in temperature and precipitation for New York between 2009 and 2099 are shown in **Figure 4**. Model results suggest average temperatures will continue to increase through the end of the century, a trend which is also projected for the coldest and warmest month averages, as well as throughout the growing season (May – Sep.). Over this 90-year period, average annual temperatures are expected to increase by an estimated 11.2 °F, with the most drastic increases expected to occur during the growing season (+12.1 °F).

Model results of future precipitation in New York follow variable trends, with totals projected to steadily increase through 2099 (**Figure 4**). Over a 90-year period, annual precipitation is expected to increase by an estimated 5.2 in., however, precipitation levels are projected to *decrease* during the growing season by an estimated 0.4 in. This suggests that precipitation in New York may increase substantially during the winter months (Oct. – Apr.), while drought events may become more frequent and severe during the growing season.

# Forest Density

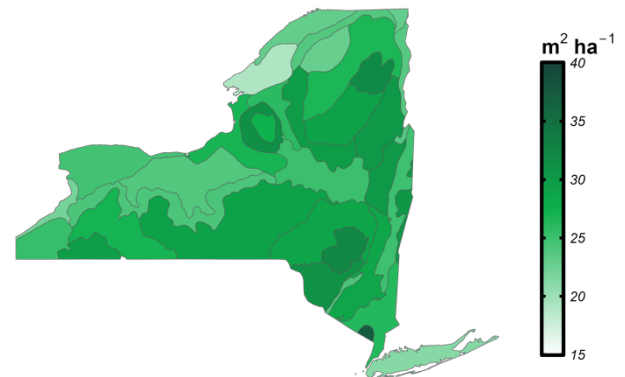
**Figure 5.** Forest density as live tree density (No. ha<sup>-1</sup>) in New York.

**Forest Density: Live tree number**



**Figure 6.** Forest density as live tree basal area (m<sup>2</sup> ha<sup>-1</sup>) in New York.

**Forest Density: Live tree basal area**



Forest density<sup>1</sup> is both a structural characteristic of forests and a reflection of forest dynamics. It can be measured as the number of trees per unit area, or it can be measured in terms of live tree area per unit area, known as “basal area”. Live tree basal area represents the amount of ground covered by living trees in two-dimensional space. **Figure 5** shows average forest density in terms of live trees per hectare by ecosection<sup>2</sup> across the state of New York, while **Figure 6** represents forest density by ecosection in terms of basal area (m<sup>2</sup> ha<sup>-1</sup>).

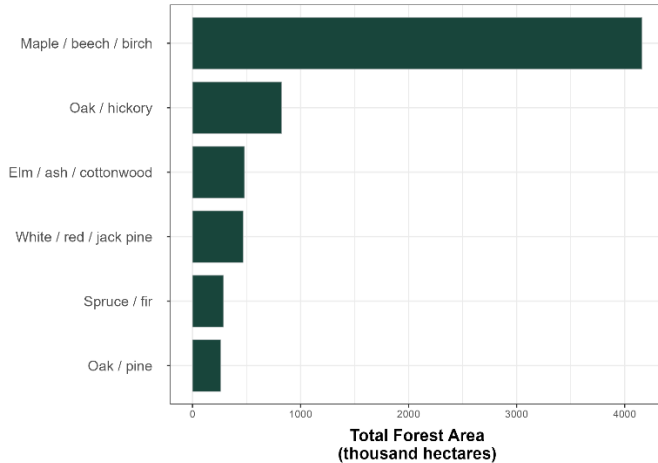
By comparing these figures we can see that an ecosection in the northeastern portion of New York has the state’s highest forest density in terms of number of trees per hectare (**Figure 5**), but an average density in terms of basal area (**Figure 6**). This suggests that in this ecosection, there may be more total trees per unit area, but on average, these trees tend to be relatively small. Meanwhile, an ecosection in the southwestern corner of the state has a relatively low forest density in terms of number of trees, but an average density in terms of basal area. This suggests that forests in this ecosection are characterized by the prevalence of fewer, relatively large trees.

<sup>1</sup>All forest inventory and carbon data were estimated using data from the Forest Inventory and Analysis (FIA) Program which can be accessed through the FIA DataMart (USDA Forest Service, 2024. *Forest inventory and analysis program*. Available at: <https://www.fia.fs.usda.gov/>) using the rFIA package (Stanke et al, 2020. rFIA: an R package for estimation of forest attributes with the US Forest Inventory and analysis database. *Environ Model Softw.* **127**:104664. <https://doi.org/10.1016/j.envsoft.2020.104664>) in the R programming environment (R Core Team, 2020. *R: A language and environment for statistical computing*, Vienna, Austria: R Foundation for Statistical Computing.

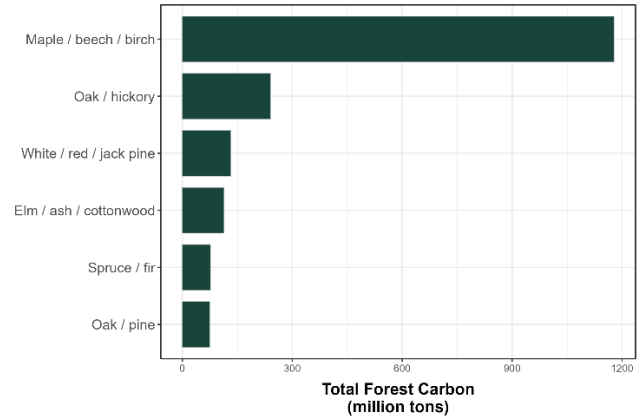
<sup>2</sup>Ecosection definition can be found at Cleland et al, 2007. Ecological Subregions: Sections and Subsections for the conterminous United States. *General Technical Report WO-76D*, Washington Office, USDA Forest Service. <https://doi.org/10.2737/WO-GTR-76D>

# Forest Cover Types and Carbon

**Figure 7.** Total forest area (thousand ha) by forest type in New York.



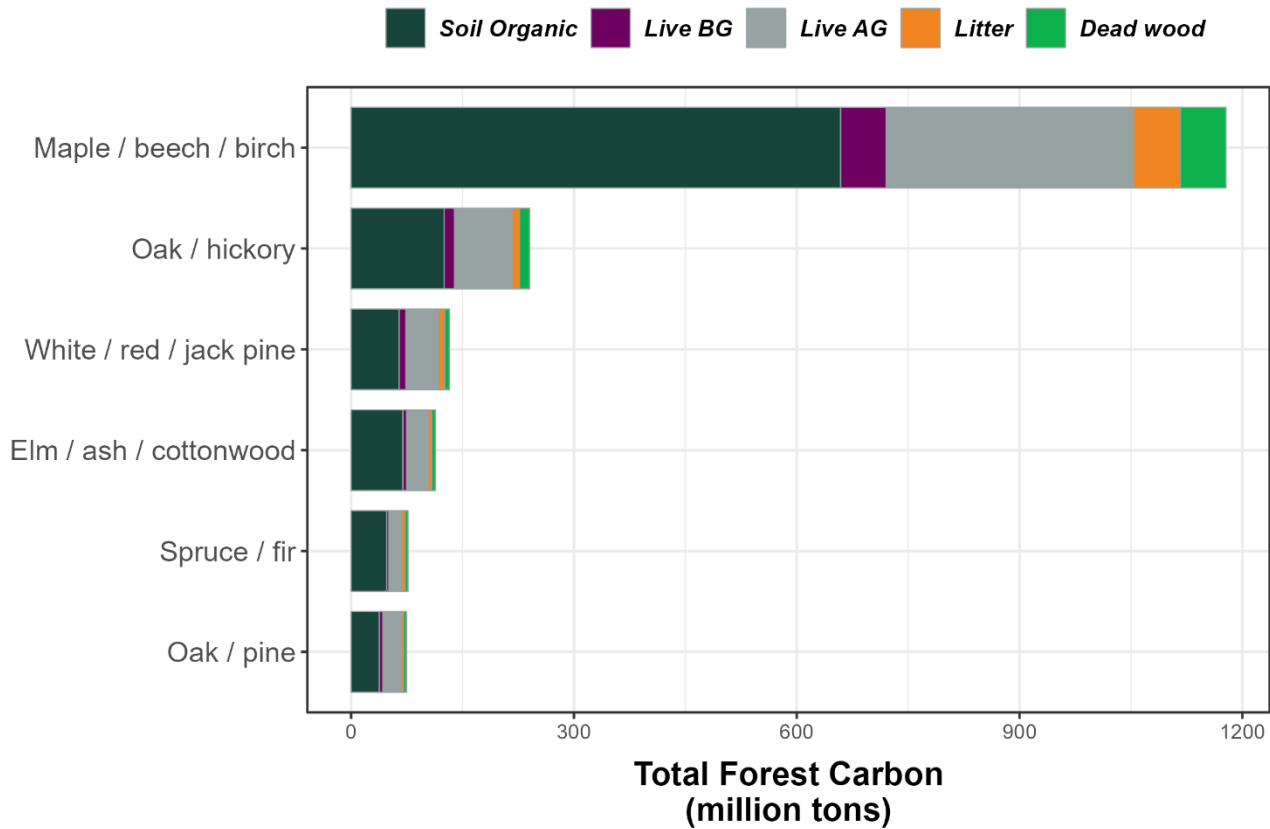
**Figure 8.** Total forest carbon (million tons) by forest type in New York. Total forest carbon is the sum of carbon stored across all aboveground and belowground pools (includes Soil Organic carbon + Live Belowground carbon + Live Aboveground carbon + Litter carbon + Dead wood carbon).



New York is dominated by 6 key forest cover types: Maple / beech / birch, Oak / hickory, Elm / ash / cottonwood, White / red / jack pine, Spruce / fir, and Oak / pine. **Figure 7** and **Figure 8** show state-level data of total forested area and total forest carbon, respectively, for each of these cover type groups. As these figures show, Maple / beech / birch is the dominant forest type of New York, spanning an area upwards of 4 million hectares and storing nearly 1.2 billion tons of carbon statewide. With coverage levels ranging from ~250,000-750,000 hectares, other forest types in this state are less abundant, yet play an important role contributing to enhanced biodiversity and landscape heterogeneity. Comparing trends from **Figure 7** with those in **Figure 8** demonstrates how carbon storage levels vary by forest cover type. For example, Elm / ash / cottonwood forests cover slightly more land area than White / red / jack pine stands in New York (**Figure 7**), yet when it comes to carbon, White / red / jack pine stands store slightly more carbon than their Elm / ash / cottonwood counterparts (**Figure 8**).

# Forest Carbon Pools

**Figure 9.** Total forest carbon (million tons) by pool and forest type in New York.



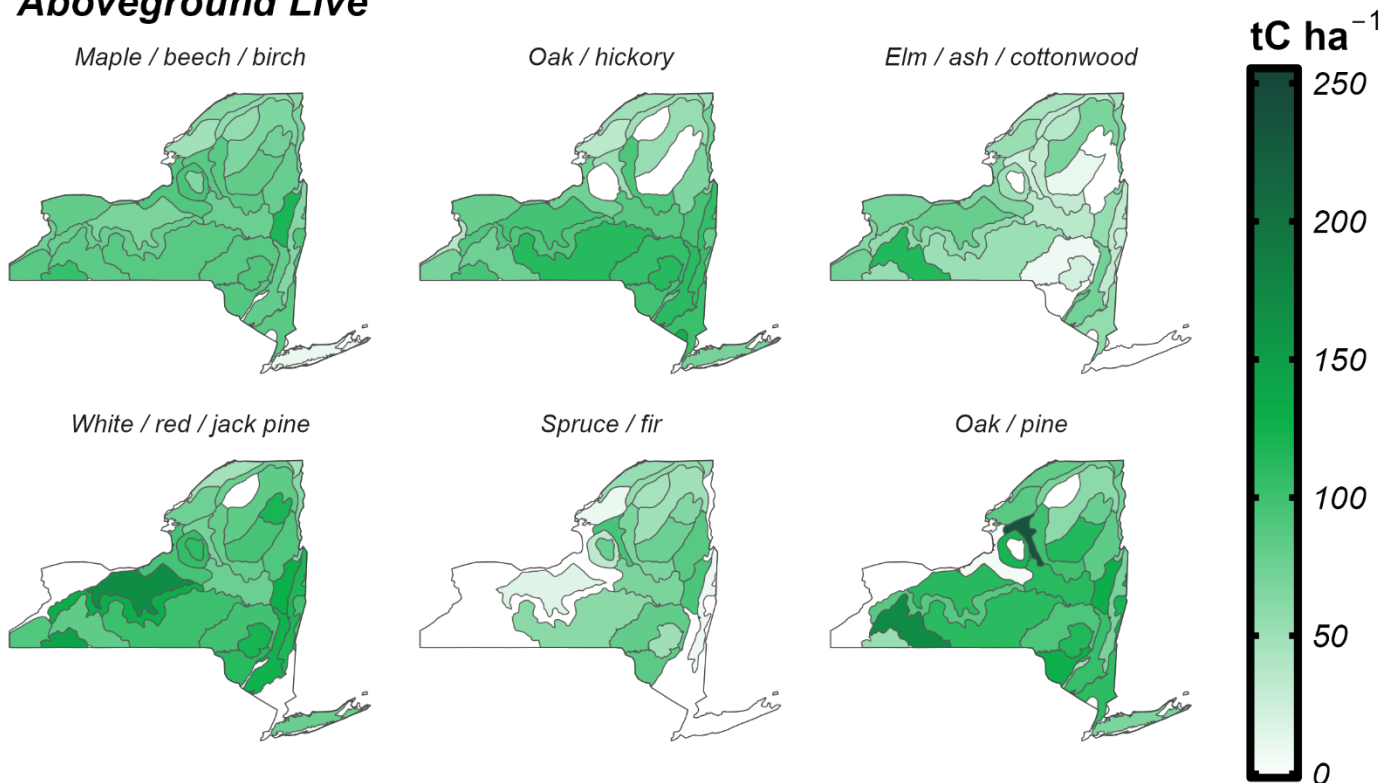
Forest carbon storage can be further assessed by examining how it's distributed across different ecosystem carbon pools. **Figure 9** shows the amount of carbon stored in different carbon pools of key forest cover types in New York. These values show how different forest types allocate distinct proportions of forest carbon into soil organic matter, live belowground (BG) biomass, live aboveground (AG) biomass, litter, and dead wood pools. New York forests generally allocate more ecosystem carbon to belowground pools (soil organic matter + live BG biomass) than aboveground pools (live AG biomass + litter + dead wood), yet the proportions in which they do so varies significantly across forest cover types. For instance, Elm / ash / cottonwood and Spruce / fir forests allocate roughly double the amount of carbon to belowground pools than aboveground pools, whereas forest types like White / red / jack pine and Oak / pine distribute carbon more evenly between belowground and aboveground pools. Another noteworthy trait shown in **Figure 9** is the magnitude of carbon storage levels across different pools and cover types. Maple / beech / birch's dominating presence on this landscape means its statewide carbon pools are outsized compared to other groups. For example, leaf litter and dead wood pools of New York's Maple / beech / birch forests on their own contain more stored carbon than the total ecosystem carbon (sum of carbon stored across all pools) contained by the Spruce / fir or Oak / pine groups.



# Forest Carbon Density

**Figure 9.** Aboveground live forest carbon density ( $\text{tC ha}^{-1}$ ) by forest type in New York.

## Average Forest Carbon Density by Ecoregion: Aboveground Live



Forest carbon density can be influenced by many ecosystem traits, such as tree density, stand age, species mix/ cover type, soil fertility, elevation, and a site's management and disturbance history. In **Figure 9**, the carbon density of aboveground living forest biomass is shown for 6 key cover types in New York. Of these, Oak / pine stands hold the highest levels of aboveground live carbon per unit area, represented by the deep shade of green in a small northern ecoregion. By contrast, Elm / ash / cottonwood stands have a much lower carbon density per unit area in this ecoregion. Across much of their extent, Maple / beech / birch and Spruce / fir stands exhibit relatively even carbon densities, while cover types like White / red / jack pine and Oak / pine show higher levels of variability across ecoregions. In these instances, variable carbon densities can be driven by the relative prevalence or absence of each forest type from a given ecoregion.

## Species-Specific Considerations for Climate Adaptation

Climate change is expected impact the distribution of species into the future. Predictive modeling of potential future changes that incorporate species interactions, dispersal mechanisms, demography, physiology, and evolution is needed to assist in adaptive forest planning. The USDA Forest Service **Climate Change Tree Atlas, Version 4**, provides modeled potential suitable habitat for 125 species in the eastern US, with an additional 23 species. <https://www.fs.usda.gov/nrs/atlas/tree/>

### Core Climate Change Atlas components:

- DISTRIB-II: Species habitat suitability model
- SHIFT: Migration model (when combined with DISTRIB-II, estimates colonization potential (HQCL) of future suitable habitats)
- Adaptability Ratings: Species adaptability ratings (species traits not included in DISTRIB-II and SHIFT models)

In addition to the modeled potential suitable habitat for individual tree species, the Climate Change Atlas includes Current and potential future habitat, capability and migration for individual tree species and potential changes in climate variables summarized by the following spatial extents:

Geographic Area	Description
National Forest Summaries	Results summarized for 55 national forests
National Park Summaries	Results summarized for 78 national parks
HUC6 Watershed	Results summarized by hydrologic unit codes level 3 (HUC 6) which are hierarchical classifications based on surface hydrologic features in which level 3 maps watershed basins (Seaber et al, 1987) <a href="https://pubs.usgs.gov/wsp/wsp2294/">https://pubs.usgs.gov/wsp/wsp2294/</a>
Ecoregional Vulnerability Assessments (EVAS)	Results summarized by ecoregions used in the USDA Climate Hub Regional Vulnerability Assessments <a href="https://www.climatehubs.usda.gov/assessments">https://www.climatehubs.usda.gov/assessments</a>
USDA Forest Service EcoMap 2007 Sections	Results summarized by ecological sections that delineate ecosystems with distinctive vegetation and other unique ecological characteristics (Cleland et al, 2007, McNab et al, 2007)
National Climate Assessment (NCA) 2015 Regional Summaries	Results summarized by National Climate Assessment Region which include the Midwest, Northeast, Northern Plains, Southeast, and Southern Plains
1 x 1° Grid Summaries	Results summarized by 1x1° latitude and longitude
State Summaries	Results summarized for 38 states
Urban areas	Results summarized for 185 urban areas across the eastern US

Additional background on this tool can be found at: <https://research.fs.usda.gov/centers/ccrc> along with short video tutorials on the Climate Change Atlas website.

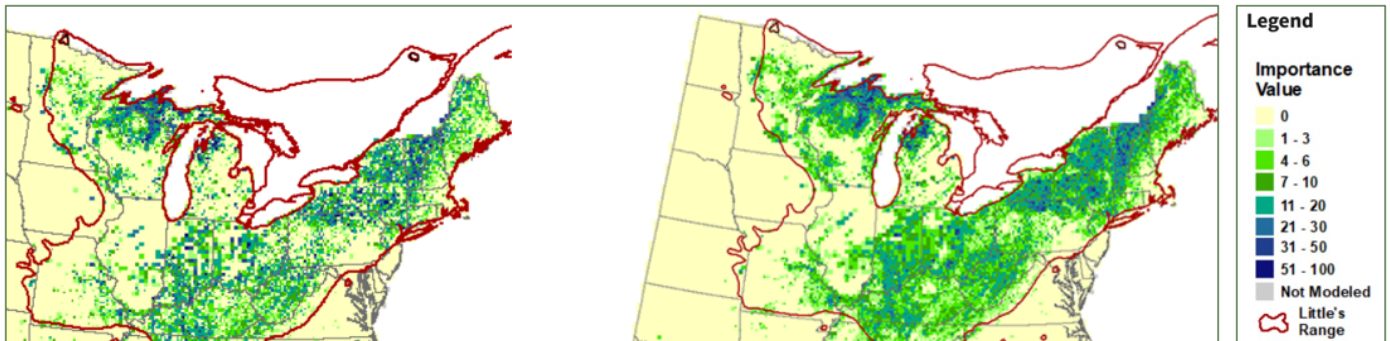
# Habitat Suitability and Migration Models

**Model Reliability: High**

**Key Species Example:** Modeled potential suitable habitat for Sugar Maple (*Acer saccharum*) through 2100

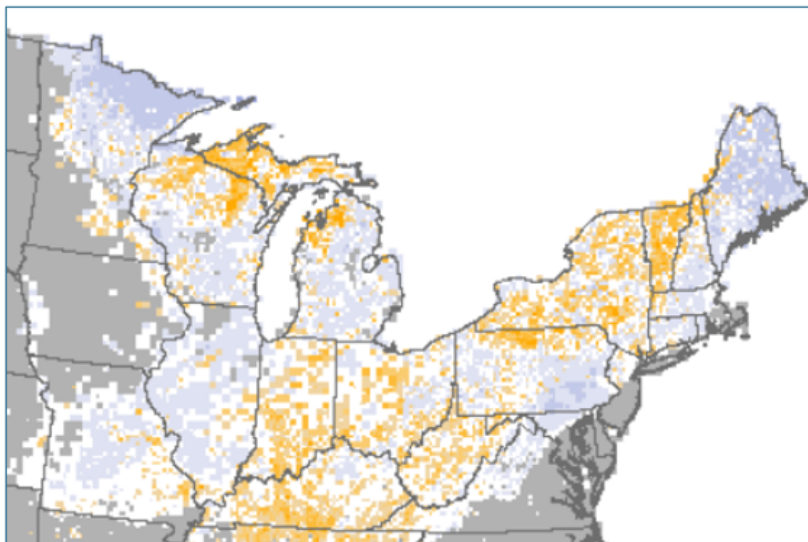
Current habitat quality and distribution (DISTRIB-II)

Potential migration (SHIFT) and colonization likelihood (CL)



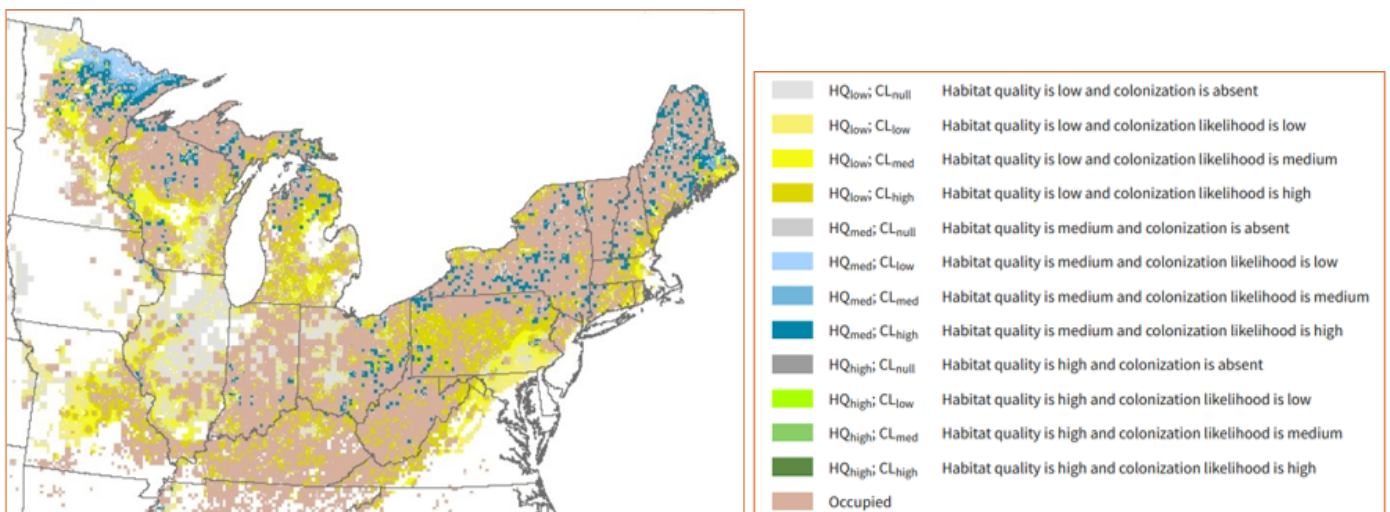
Importance value is a measure of abundance that accounts for both tree basal area and number of stems, ranging from 0-100.

Colonization potential of future habitats under a high emission scenario (RCP 8.5)



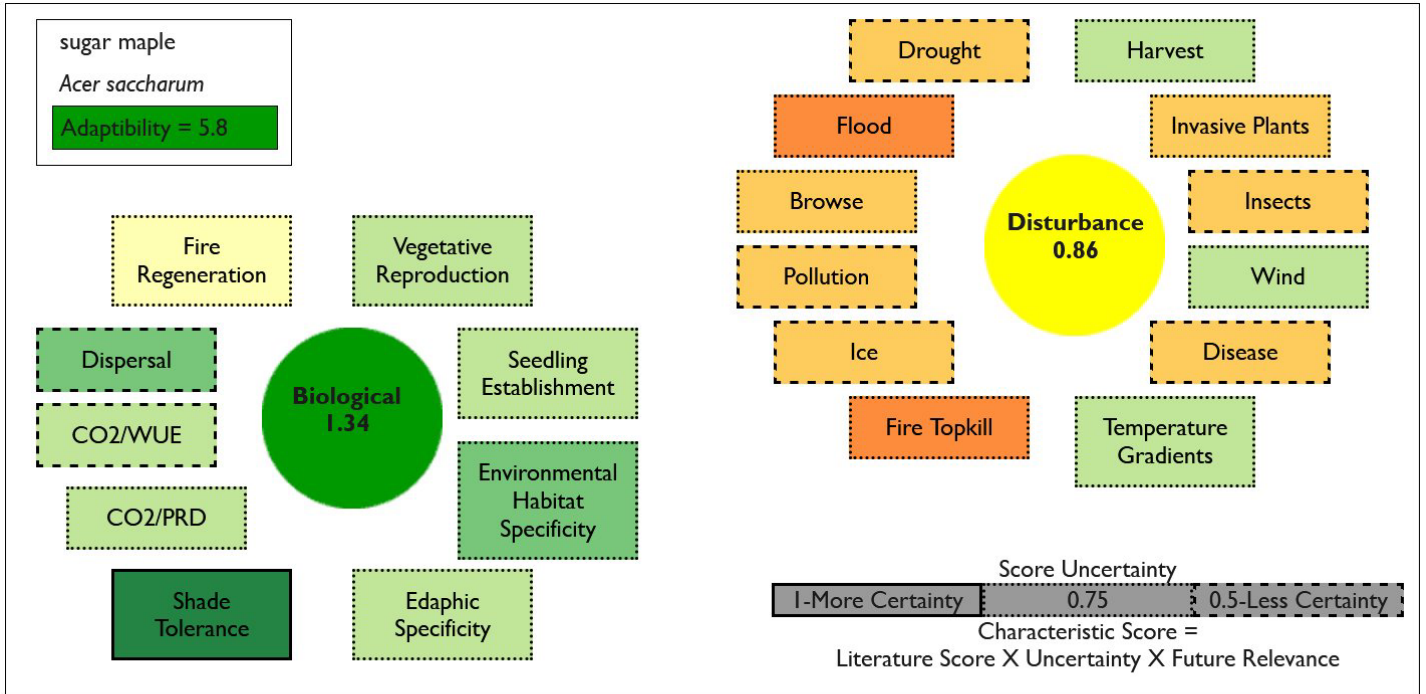
Colonization is limited to range margins and infill (Blue) which is derived from habitat quality (DISTRIB) and migration model (SHIFT) utilizing the colonization likelihood model (CL). Orange shading represents current species' distributions where abundance is predicted to decrease due to loss of habitat suitability.

DISTRIB-II + SHIFT: Habitat quality and colonization likelihood (RCP 8.5)



# Adaptability Ratings

Key Species Example: Sugar Maple (*Acer saccharum*)



V Hi Pos +3	High Pos +2	Low Pos +1	Minimal 0	Low Neg -1	High Neg -2	V Hi Neg -3
----------------	----------------	---------------	--------------	---------------	----------------	----------------

The Adaptability score, which assesses 21 variables to assign adaptability ratings to tree species in the eastern US, reflects a species' potential adaptability to climate change-driven stressors and disturbances at range wide scale. Adaptability ratings provide broad insights into factors that cannot be directly included in the Climate Change Tree Atlas species migration models. Two types of species traits are evaluated: 1) biological and 2) disturbance, each with their own set of factors to help characterize species' traits and responses to disturbance. Uncertainty is also included for each trait or factor assessed. When coupled with other modeled projections, adaptability ratings can support future planning under a changing climate.

The Adaptability variable is single score derived from the Modification Factors which encompass scores for the 12 disturbance and 9 biological factors. The Adaptability results can be considered relative to other tree species. For example, a species with a low Adaptability variable likely does not have life history characteristics to allow it to thrive under most conditions whereas a high Adaptability variable will likely do better under the climate change outputs from the DISTRIB-II and SHIFT Models.

## Climate Change Atlas Summary for Sugar Maple

Sugar maple is widely distributed (21.3% of area), dense, and with high IV across much of the northern 2/3 of the Eastern US. It ranks fourth in overall abundance across the eastern US, behind loblolly pine, red maple and sweetgum. It rates as highly adaptable although under persistent drought or other stresses, it would likely decline. In contrast to our earlier models which showed substantial habitat decline in the south under harsh climate change, the species is modeled to decline only modestly, so we rate it with a very good capacity to cope, and to be a good infill species (according to SHIFT).

## Citations:

### Habitat suitability models on trees:

Peters et al. (2020). Climate change tree atlas, Version 4. U.S. Forest Service, Northern Research Station and Northern Institute of Applied Climate Science, Delaware, OH. <https://www.nrs.fs.fed.us/atlas>;

Iverson, L.R, Peters, M.P., Prasad, A.M., & Matthews, S.N. (2019). Analysis of Climate Change Impacts on Tree Species of the Eastern US: Results of DISTRIB-II Modeling. *Forests*, 10(4), 302. doi: 10.3390/f10040302 <https://www.fs.usda.gov/treearch/pubs/57857>

Peters, M. P., Iverson, L. R., Prasad, A. M., & Matthews, S. N. (2019). Utilizing the density of inventory samples to define a hybrid lattice for species distribution models: DISTRIB-II for 135 eastern U.S. trees. *Ecology and Evolution*. doi: 10.1002/ece3.5445 <https://www.fs.usda.gov/treearch/pubs/58353>

Iverson, L. R., Prasad, A. M., Peters, M. P., & Matthews, S. N. (2019). Facilitating Adaptive Forest Management under Climate Change: A Spatially Specific Synthesis of 125 Species for Habitat Changes and Assisted Migration over the Eastern United States. *Forests*, 10(11), 989. doi: 10.3390/f10110989 <https://www.fs.usda.gov/treearch/pubs/59105>

Prasad, A. M., Iverson, L. R., Matthews, S. N., & Peters, M. P. (2016). A multistage decision support framework to guide tree species management under climate change via habitat suitability and colonization models, and a knowledge-based scoring system. *Landscape Ecology*, 31(9), 2187–2204. doi: 10.1007/s10980-016-0369-7 <https://www.fs.usda.gov/treearch/pubs/50748>

Prasad, A. M., Gardiner, J. D., Iverson, L. R., Matthews, S. N., & Peters, M. (2013). Exploring tree species colonization potentials using a spatially explicit simulation model: implications for four oaks under climate change. *Global Change Biology*, 19(7), 2196–2208. doi: 10.1111/gcb.12204 <https://www.fs.usda.gov/treearch/pubs/43705>

Iverson, L. R., A. M. Prasad, S. N. Matthews, and M. Peters. 2008. Estimating potential habitat for 134 eastern US tree species under six climate scenarios. *Forest Ecology and Management* 254:390-406. <http://www.treearch.fs.fed.us/pubs/13412>

### Adaptability of tree species:

Iverson, L. R., S. N. Matthews, A. M. Prasad, M. P. Peters, et al. (2012). Development of risk matrices for evaluating climatic change responses of forested habitats. *Climatic Change* 114(2): 231-243. doi: 10.1007/s10584-012-0412-x. <https://www.fs.usda.gov/treearch/pubs/41221>

Matthews, S. N., L. R. Iverson, A. M. Prasad, M. P. Peters, and P. G. Rodewald. 2011. Modifying climate change habitat models using tree species-specific assessments of model uncertainty and life history factors. *Forest Ecology and Management* 262:1460-1472. <http://www.fs.usda.gov/treearch/pubs/38643>

### Climate summary definitions:

McNab, W.H.; Cleland, D.T.; Freeouf, J.A.; Keys, Jr., J.E.; Nowacki, G.J.; Carpenter, C.A., comps. 2007. Description of ecological subregions: sections of the conterminous United States [CD-ROM]. Gen. Tech. Report WO-76B. Washington, DC: U.S. Department of Agriculture, Forest Service. 80 p. <https://research.fs.usda.gov/treearch/48669>

Cleland, D.T.; Freeouf, J.A.; Keys, J.E.; Nowacki, G.J.; Carpenter, C.A.; and McNab, W.H. 2007. Ecological Subregions: Sections and Subsections for the conterminous United States. Gen. Tech. Report WO-76D [Map on CD-ROM] (A.M. Sloan, cartographer). Washington, DC: U.S. Department of Agriculture, Forest Service, presentation scale 1:3,500,000; colored. <https://research.fs.usda.gov/treearch/48672>

Seaber, Paul R., F. Paul Kapanos, and George L. Knapp (1987). Hydrologic Unit Maps. United States Geological Survey Water-Supply Paper 2294: i–iii, 1–63.