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Compositions of lake sturgeon (*Acipenser fulvescens*) mixtures in Lake Michigan: hierarchical spatial heterogeneity and evidence of improving recruitment in Wisconsin spawning populations

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1 Compositions of lake sturgeon (*Acipenser fulvescens*) mixtures in Lake Michigan:
2 hierarchical spatial heterogeneity and evidence of improving recruitment in Wisconsin
3 spawning populations
4

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30 Abstract

31 Information regarding site occupancy of fish that migrate long distances during non-
32 spawning periods together with estimates of recruitment trends for individual populations can
33 be informative for management, especially when individuals from different spawning
34 populations intermix and are sampled/harvested together. Tendencies for individuals from
35 different populations to preferentially occupy specific regions increases vulnerability to
36 anthropogenic and natural disturbances. Using mixed stock analysis (MSA), we estimated
37 population-specific occupancy of lake sturgeon in open-water and nearshore regions of Lake
38 Michigan across a hierarchy of spatial scales. Open-water mixture composition differed
39 between Lake Michigan's eastern and western basins. Significant heterogeneity in habitat
40 occupancy was also observed at microgeographic scales throughout open-water regions of
41 Green Bay, indicating non-random occupancy to regions proximal to natal streams. Estimates
42 of relative recruitment levels determined from MSA extensions indicated increasing
43 recruitment trends for spawning populations associated with Wisconsin tributaries
44 (Oconto/Peshtigo, Fox, and Menominee Rivers). Our lake sturgeon results demonstrate the
45 utility of genetic data for informing management efforts for spatially-structured, highly
46 migratory species. Similar analyses could prove beneficial for species with similar
47 characteristics.

48

49 **Introduction**

50 Habitat occupancy and movements among habitats have been major focal areas in aquatic
51 resource management (Cooke et al. 2016; Brooks et al. 2019) due to their importance for
52 quantifying spatial biodiversity patterns and metapopulation connectivity, which improves
53 understanding of community dynamics and ecosystem function (Bauer and Hoye 2014). Many
54 fish species migrate seasonally for reproduction (Naiman et al. 2002; Cook et al. 2005; Forsythe
55 et al. 2012; Brenden et al. 2017; Li et al. 2017). Among such species, highly vagile fish,
56 especially those with delayed sexual maturity and multi-annual inter-spawning intervals, can
57 disperse considerable distances from natal habitats during non-spawning periods. Consequently,
58 in large systems, fish from multiple spawning populations frequently intermix during non-
59 spawning seasons and form stock mixtures (e.g., pre-reproductive oceanic life stage of Pacific
60 salmonids, *Oncorhynchus spp.*; Beacham et al. 2005, 2006). The occurrence of mixed stocks
61 comprised of individuals from genetically-distinct spawning populations can result in less
62 productive populations being vulnerable to overharvest or other disturbances. Accordingly,
63 movement tendencies and non-random habitat occupancy have been identified as significant
64 knowledge gaps for effective fisheries management in all aquatic ecosystems, including the
65 North American Laurentian Great Lakes (Landsman et al. 2011).

66 Traditional methods used to document movements and habitat occupancy of fishes
67 include direct methods such as tagging/marking (Labonne and Gaudin 2005; Schwartz et al.
68 2007), direct observation (Trenham et al. 2000), telemetry (Rogers and White 2007) and
69 morphometrics (Cadrin 2000). Some studies have combined methods (tagging, marking, direct
70 observation) to characterize movements (see review in Fabrizio 2005). Despite their utility,
71 traditional methods can be challenging to implement in large systems because of meta-
72 population structure and difficulties in sustained tagging and monitoring of large numbers of

73 fish across the full complement of spawning populations (Vandergoot and Brenden 2014).

74 Genetic methods, including the use of mixed stock analysis (MSA) (e.g., Pella and
75 Masuda 2001; Bradbury et al. 2016), have also been widely used to quantify population-specific
76 habitat occupancy and movements. Many fish species exhibit a high degree of natal philopatry,
77 which can give rise to spatial genetic structuring (i.e., spatial heterogeneity in allele frequency)
78 among spawning populations. Traditional MSA examines a mixture of individuals from
79 unknown origins and estimates the proportional contributions of putative spawning populations
80 to the mixture (i.e., many-to-one analysis) based on genotypes of mixture individuals and genetic
81 characteristics (i.e., allele frequencies) of the spawning populations. This method has been
82 routinely applied in marine fisheries (e.g., Shaklee et al. 1999; Beacham et al. 2006, 2020), and
83 has been increasingly used to examine fish movements and mixture compositions in Great Lakes
84 fisheries (e.g., Bott et al. 2009; Brenden et al. 2015; Andvik et al. 2016; Scribner et al. 2018;
85 Euclide et al. 2020).

86 In traditional MSA, stock composition estimation is made without consideration to ages
87 or sizes of fish in the mixture, time periods of collection, or regions where fish were collected.
88 Recently, changes have been made due in part to wider recognition that mixture composition
89 integrates numerous factors, including population-specific recruitment, mortality, and movement
90 rates (Bjorndal and Bolten 2008). Consequently, more detailed information on some of these
91 important rates can be obtained by parsing mixture data into different components (e.g., fish ages
92 or sizes, different mixture sub-regions). For example, MSA applied to different season and age
93 components of walleye (*Sander vitreus*) collected from Saginaw Bay, Lake Huron potentially
94 identified differences in migration patterns of young fish originating from Lakes Erie and St.
95 Clair (Brenden et al. 2015). Similarly, individual assignment and MSA were used to elucidate
96 differences in length distributions of Atlantic salmon (*Salmo salar*) produced from different

97 Baltic Sea tributaries and between hatchery- and wild-produced fish (Koljonen et al. 2021).
98 Bolker et al. (2007) used hierarchical modeling to expand MSA to estimate population
99 contributions to multiple mixtures (i.e., many-to-many analysis), which was capable of providing
100 more detailed information on the spatial ecology of individual spawning populations.

101 The wealth of information encompassed in mixture compositions has also resulted in
102 genetic data and MSA methodologies being included in integrated population models (Abadi et
103 al. 2010; Chandler and Clark 2014) to improve estimation of population demographics and
104 important dynamic rates. For example, mixture composition and MSA methodologies were
105 incorporated in an integrated population model for Atlantic salmon from the Baltic Sea, which
106 permitted joint inferences on abundance, movement, and stock composition of multiple
107 populations in the region (Whitlock et al. 2018). Likewise, MSA has been extended to
108 incorporate age or length information of individuals collected from the mixtures to estimate
109 important dynamic rates, such as recruitment, mortality, or movement, of spawning population
110 contributing to mixtures (Tsehaye et al. 2016; Brenden et al. 2018). These extensions
111 parameterize expected mixture compositions as functions of population-, year-, and/or region-
112 specific recruitment, mortality, and movement rates (Tsehaye et al. 2016; Brenden et al. 2018).
113 The extended MSA then is used to estimate dynamic rates of interest, consistent with
114 population compositions that are evident in the mixtures.

115 The ability to use extended MSA methods to quantify relative recruitment levels of
116 spawning populations contributing to mixed fisheries may be the greatest utility of MSA model
117 extensions that incorporate age- or length information. Fish population abundance is strongly
118 affected by recruitment rates, and recruitment typically varies spatially and temporally (Fogarty
119 1993; Myers et al. 1997) due to variation in spawning population size (Ricker 1954), survival
120 during early life stages (Ludsin et al. 2014), adult fecundity (Hilborn and Walter 1992; Quinn

121 and Deriso 1999), habitat limitations (Walters and Juanes 1993), and predation (Walters and
122 Juanes 1993). Science-based adaptive management of mixed stocks would benefit from
123 understanding the recruitment dynamics of constituent populations to identify which spawning
124 populations may be most susceptible to natural or anthropogenic stressors (Stephenson 1999),
125 which in turn could protect the resilience of the entire population complex (DuFour et al.
126 2015). Recruitment levels for populations contributing to mixtures are difficult to estimate
127 when sampling is not conducted during the spawning season (Li et al. 2015). Sampling of
128 mixtures during non-reproductive periods can incorporate sampling of sub-adults that would
129 not otherwise be available for sampling of spawning populations. The ability to quantify
130 population recruitment from collections made during the non-spawning season would allow
131 managers to better assess whether current efforts can achieve management goals for a species
132 (Hayes and Caroffino 2010).

133 Lake sturgeon (*Acipenser fulvescens*) is a species of conservation focus throughout its
134 native range including the Laurentian Great Lakes region (Peterson et al. 2007; Bruch et al.
135 2017). Despite conservation efforts, seasonal or age-specific information on lake sturgeon
136 movements and site occupancy during their prolonged non-spawning periods is lacking. Lake
137 sturgeon are adfluvial, migrating from open-water areas to rivers for spawning, and are long-
138 lived, with onset of sexual maturity occurring at between 12 and 25 years of age depending on
139 sex (Baker 1980; Houston 1987; Auer 1996; Harris et al. 2017). After maturation, the interval
140 between spawning events is sex- and location-dependent, with males spawning every one to
141 four years and females spawning every three to seven years (Auer 1999b; Beamish et al. 1996;
142 Forsythe et al. 2012). Prior to sexual maturity and during extended non-spawning periods,
143 individuals from different tributary populations can disperse considerable distances and form
144 mixed stocks (Auer 1999a; Gunderman and Elliott 2004).

145 Since European colonization of the Great Lakes region, lake sturgeon abundance has
146 declined markedly, especially since the last half of the 19th century (Hay-Chmielewski and
147 Whelan 1997). Declines in abundance have been attributed to several factors, including habitat
148 loss and degradation, pollution, loss of habitat connectivity, and over-exploitation (Holey et al.
149 2000). Although harvest has been substantially reduced or entirely eliminated in many areas of
150 the Great Lakes, and water quality has been greatly improved, lingering threats to remnant
151 populations include by-catch harvest and non-point-source pollution, both of which are likely
152 to occur at local scales during non-reproductive periods. The effects of biotic and physical
153 features on reproductive success (Dammerman et al. 2019) and recruitment levels in natal
154 streams also are issues affecting lake sturgeon populations. These threats are likely to affect
155 populations differently depending on where events occur, and as a consequence of heritable
156 differences in population life-histories or sensitivities to environmental factors [e.g., timing of
157 spawning (Prince et al. 2017), growth associated with temperature, or flow regimes in natal
158 stream environments (Dammerman et al. 2015; Dammerman et al. 2016)].

159 Given the potential for long-range movements by lake sturgeon within the Great Lakes,
160 the occurrence of stock mixtures, and spatial variation in threats to individual spawning
161 populations, successful restoration efforts and assessment of future risk requires a fundamental
162 understanding of areas and habitats occupied by individuals from different lake sturgeon
163 populations during non-spawning periods. There is also considerable interest in obtaining
164 information pertaining to distributions of young lake sturgeon cultured in streamside rearing
165 facilities (Holtgren et al. 2007) and stocked in the Great Lakes to rehabilitate/restore wild
166 populations.

167 Traditional techniques for monitoring fish movement and habitat use, such as tagging
168 and telemetry have provided valuable information on seasonal variation in lake sturgeon

169 movement and habitat use (Colborne et al. 2020), and have identified focal areas and habitats
170 associated with foraging, reproduction, or avoidance of unfavorable environmental conditions
171 (Auer 1999b). Studies have also found considerable plasticity in lake sturgeon movement
172 behavior. For example, Colborne et al. (2020) and Kessel et al. (2018) used acoustic telemetry
173 to document multiple migratory behaviors of lake sturgeon in the Huron-Erie Corridor, which
174 comprises Lake St. Clair and Detroit and St. Clair Rivers. Similarly, Larson et al. (2020) used
175 PIT tag antennas to document multiple migratory events by male lake sturgeon in a single
176 season in Michigan's Upper Black River.

177 Despite valuable contributions from past studies that have used traditional fisheries
178 methods, data on lake sturgeon movements and habitat occupancy are lacking in most open-
179 water areas of the Great Lakes, including Lake Michigan, which contains a large portion of the
180 remnant tributary spawning populations in the Great Lakes (Holey et al. 2000). Such
181 information on habitat use is particularly important for understanding risks and threats to
182 remnant populations. Given the large spatial scales and mixing of many source populations,
183 genetic approaches have much to contribute to understanding habitat use dynamics by lake
184 sturgeon in the Great Lakes. Comprehensive information on trends in recruitment across
185 populations also are generally lacking for lake sturgeon, and are not available from previous
186 studies on Lake Michigan populations. Management decisions for species of conservation
187 concern such as lake sturgeon can greatly benefit from knowledge of historical and
188 contemporary patterns of recruitment for remnant populations (Holey et al. 2000; Bruch et al.
189 2017) by assessing relative population demographic trends in the context of population
190 management.

191 This study used genetic information and MSA to quantify habitat occupancy of lake
192 sturgeon in Lake Michigan using mixture samples from open-water and nearshore sites

193 collected throughout the lake over multiple years. The primary objective of this study was to
194 quantify population contributions for each Lake Michigan tributary spawning population to
195 mixtures collected in a spatially hierarchical fashion at individual sampling locations within
196 different open-water and nearshore regions of the lake. In situations where sample sizes were
197 sufficient, differences in mixture composition were characterized between sub-adult and adult
198 age groups and between collections made during different seasons (spring vs fall). Our
199 secondary objective was to use the MSA extension of Tsehaye et al. (2016) to estimate relative
200 recruitment rates for Lake Michigan tributary-spawning source populations contributing to
201 mixed population samples in open-water and nearshore areas of Green Bay to assess the
202 recovery status of individual populations.

203

204 **Materials and Methods**

205

206 **Sampling of baseline spawning populations**

207 From 1999 to 2015, cooperating agencies and institutions captured adult lake sturgeon
208 during the spawning season (late April to early June) from seven remnant tributary spawning
209 populations throughout Lake Michigan (Fig. 1A and B). Fish were designated as belonging to
210 a particular spawning population based on time and location of collection, and whether
211 individuals could express gametes. Individuals were collected using long-handled dip nets, gill
212 nets, and electrofishing. Total length, girth, and weight of each captured individual were
213 recorded. Individuals were sexed based on expression of gametes and visual inspection of the
214 vent. Prior to release, a tissue sample ($\approx 1\text{-cm}^2$ clip of the dorsal fin) was collected and dried in
215 a scale envelope at ambient temperature (DeHaan et al. 2006).

216

217 **Sampling mixtures of unknown population composition**

218 During non-spawning seasons, lake sturgeon were collected from open-water areas of
219 Green Bay, as well as open-water areas in the Northern, East-Central, and Southeastern regions
220 of Lake Michigan (Fig. 1A and B). Lake sturgeon were also collected during non-spawning
221 seasons from nearshore areas in Green Bay at the mouths of the Oconto, Peshtigo, and
222 Menominee Rivers. Because lake sturgeon density in areas proximal to spawning locations is
223 low during non-spawning periods, sampling was conducted over several years (Supplemental
224 Table S1). Samples included a mixture of age groups based on total length data collected from
225 the majority of individuals captured.

226 Open-water samples were collected from 1996 to 2018 by U.S. Fish and Wildlife
227 Service (USFWS), Michigan Technological University, Grand Valley State University, Central
228 Michigan University, Michigan Department of Natural Resources (MiDNR), Wisconsin
229 Department of Natural Resources (WiDNR), and Little Traverse Bay Band of Odawa Indians
230 staff, often working in cooperation with commercial fishers. Most open-water samples in
231 Green Bay (2001 to 2009 sampling years) and the northern portion (2002 to 2007 sampling
232 years) of the main basin were collected using gill nets and large commercial trap nets. Nets
233 varied in mesh size and dimensions depending on the targeted species. Commercial gill nets
234 targeting lake whitefish (*Coregonus clupeaformis*) and yellow perch (*Perca flavescens*) were
235 11.3-cm and 6.3-cm stretch mesh, respectively. Graded-mesh research gill nets targeting lake
236 sturgeon were 15- to 35-cm stretch mesh. Commercial trap nets targeting lake whitefish had
237 leads approximately 305 m in length connected to pots approximately 6.1 × 9.1 × 10.7-m, with
238 pot mesh of 11.3-cm stretch mesh. Samples from the east-central (1996 to 2018 sampling
239 years) and southeastern regions (2001 to 2009 sampling years) of the main basin were
240 collected using multiple approaches including graded-mesh gill nets targeting lake sturgeon.

241 Nearshore lake sturgeon were collected from the mouths of the Oconto and Peshtigo
242 Rivers in Wisconsin from 2002 to 2009 using electrofishing and large mesh gill nets.
243 Sampling in the Menominee River was conducted from 2001 to 2009 using electrofishing and
244 hook and line angling during an annual harvest season (details in Bott et al. 2009).

245 Lake sturgeon from open-water and nearshore areas were collected throughout the
246 calendar year. However, the majority of open-water samples were collected in the spring and
247 early summer whereas the majority of nearshore samples were collected in the spring and fall.
248 Fish captured in open-water or nearshore areas were not sexed because external sex-
249 diagnostic morphological features are not a reliable indicator of sex outside the period of
250 active spawning (Webb et al. 2019).

251

252 **Genetic Analyses**

253 DNA was extracted from lake sturgeon fin tissue samples using QIAGEN DNeasy® kits
254 (QIAGEN Inc.) according to manufacturer's protocols. All samples were diluted to a consistent
255 DNA concentration of 20 ng/ml for PCR reactions. Individuals were genotyped at 12
256 disomically-inherited microsatellite loci including Spl 120 (McQuown et al. 2000); AfuG 68B
257 (McQuown et al. 2002); Aox 27 (King et al. 2001); AfuG 68, AfuG 9; AfuG 63, AfuG 74,
258 AfuG 112, AfuG 56, AfuG 160, AfuG 195 and AfuG 204 (Welsh et al. 2003). For polymerase
259 chain reactions (PCR), 100-ng DNA was amplified in 25- μ l reaction mixtures containing 2.5 μ l
260 of 10 X PCR buffer (1 M tris-HCl, 1 M MgCl₂, 1 M KCl, 10% gelatin, 10% NP-40, and 10%
261 triton X); 1-mM or 1.5-mM MgCl₂ (for AfuG 9), but no MgCl₂ for AfuG 63, Aox 27 and AfuG
262 74; 0.8-mM deoxy-nucleotide-triphosphate (dNTP); 10-pm fluorescently labeled forward and
263 unlabeled reverse primers and 0.25 units of Taq polymerase.

264 All PCR reactions were conducted using a Robocycler 96 thermal cycler. The PCR

265 conditions were 94°C for 2 min, followed by 30 cycles of 1 min for primer-specific annealing
266 temperatures, 72°C for 1 min, and the final extension for 2.5 min at 72°C. PCR products were
267 run on 6% denaturing polyacrylamide gels and visualized on a Hitachi FMBIOII scanner.
268 Allele sizes were determined using commercially available size standards (MapMarker™,
269 BioVentures Inc.) and based on standard samples of known genotype. To minimize error, all
270 genotypes were independently scored by two experienced individuals and verified again after
271 data were entered into electronic databases. Ten percent of all individuals were blindly
272 genotyped for all loci a second time as a quality control measure.

273

274 **Statistical analyses**

275 Previous studies have genetically characterized spawning lake sturgeon populations in Lake
276 Michigan (DeHaan et al. 2006; Bott et al. 2009 based on 8 loci) and (Homola et al. 2012
277 based on 12 loci) and found populations to be genetically differentiated in allele frequency. In
278 addition to data from new samples obtained for this study, we reanalyzed data for Lake
279 Michigan populations using 12 loci and based on larger spawning adult sample sizes than
280 used in previous research. We additionally added data from samples from the Kalamazoo
281 River, Michigan population that had previously been characterized genetically based on a
282 small sample size (Homola et al. 2012).

283 Estimates of allele frequencies and Hardy-Weinberg equilibrium tests for spawning
284 baseline populations were conducted using program GenePop (Rousset 2008). Estimates of
285 deviations from Hardy-Weinberg equilibrium were quantified using Wright's inbreeding
286 coefficient (F_{is} ; Weir and Cockerham 1984). Measures of genetic diversity, including
287 observed (H_o) and expected (H_e) heterozygosity and allelic richness were estimated using

288 program FSTAT (Goudet 2001). Estimates of inter-population variance in allele frequency
289 (F_{st}) were estimated using program FSTAT. A Bonferroni correction was used to adjust
290 significance to account for multiple testing.

291 Pairwise Kolmogorov-Smirnov tests were conducted in R (R Core Team 2018) and used
292 to compare total length (TL) distributions of lake sturgeon collected from the different regions
293 (i.e., East Central Lake Michigan, Open-water Green Bay, Nearshore Green Bay, Northern Lake
294 Michigan, and Southeastern Lake Michigan). Using a Bonferroni correction, a P -value less than
295 0.005 was necessary to reject the null hypothesis that length distributions were drawn from a
296 common distribution.

297

298 **Analyses of stock mixtures**

299 We used MSA to quantify the proportional contributions of spawning populations to the sampled
300 mixtures. MSA was conducted using the Statistical Program for the Analysis of Mixtures,
301 version 3.7b (SPAM; Debevec et al. 2000, Alaska Department of Fish and Game 2003). SPAM
302 implements a maximum likelihood-based approach for conducting the MSA. However, SPAM
303 does accommodate Bayesian-based options for estimating allele frequencies at individual loci for
304 the baseline spawning populations, which is beneficial when alleles are observed in mixture
305 samples that are not observed in the spawning population samples. For our analyses, we used the
306 Rannala-Mountain approach (Rannala and Mountain 1997) for calculating allele frequencies for
307 spawning populations. Uncertainty in spawning population contribution estimates was
308 determined by bootstrapping (number of bootstrap samples = 1000) the mixture data but leaving
309 baseline allele frequencies fixed at the mean of the Dirichlet posterior distributions from the
310 Rannala-Mountain approach for calculating allele frequencies.

311

312 **Analyses of stock mixtures: simulation analyses**

313 Simulations were initially conducted to determine MSA accuracy, precision, and allocation bias
314 based on genetic data for the Lake Michigan lake sturgeon spawning populations. Data from
315 each spawning population were used to simulate mixtures composed entirely of individuals
316 from that population (i.e., 100% mixture simulations). The size of the simulated mixtures was
317 200 fish. SPAM was then used to conduct a MSA including all spawning populations to
318 determine whether the MSA procedure identified that most of the mixture came from the
319 correct spawning populations for that simulation. For each spawning population, a total of
320 1,000 simulations was conducted. In a dataset with completely accurate population allocation,
321 the contribution from the spawning population that was used to simulate the mixture would be
322 estimated at 100% whereas the contributions from the other spawning populations would be
323 0% across all the iterations. Deviations from 100% allocation indicate where, and of what
324 magnitude, misallocations are likely to occur in empirical mixtures of unknown composition.

325 In addition to the 100% mixture simulations, we conducted leave-one-out cross
326 validation simulations (Anderson et al. 2008) to assess the potential accuracy of MSA applied
327 to the Lake Michigan lake sturgeon spawning populations. The analysis was performed in R
328 using the rubias package (Moran and Anderson 2018) and the `assess_reference_loo()` function.
329 Unlike the 100% mixture simulations, simulations for the leave-one-out cross validation
330 consisted of simulating mixtures with stochastic composition and then evaluating how closely
331 estimated population contributions aligned with true contributions. Mixture composition was
332 generated randomly from Dirichlet distributions with the concentration parameter for each
333 spawning population set at 1.5. As with the 100% mixture simulations, size of the mixture was
334 set at 200 fish and 1,000 simulations were conducted. Accuracy for the leave-one-out cross

335 validation accuracy was determined by calculating the mean absolute deviation between
336 estimated and true contribution for each of the spawning populations.

337

338 **Analyses of mixture composition: estimation analyses**

339 Using multilocus genotypes of individuals from open-water samples, we conducted MSA at
340 several spatial extents. First, at a local scale, we generated compositional estimates of lake
341 sturgeon mixtures from different sampling locations within Green Bay (Fig. 1A). These
342 sampling locations were then aggregated into four open-water regions of Green Bay (South,
343 Central A, Central B, and North) for estimating mixture compositional estimates. Lake
344 sturgeon of certain ages may be more predisposed to remain closer to natal tributaries, or to
345 utilize larger areas of open-water habitats. Accordingly, we were interested in whether mixture
346 compositions differed as a function of age (adult vs sub-adult) for the Green Bay aggregated
347 regions. Fish were characterized as adults or subadults based on total length, with 110 cm used
348 as the threshold length.

349 The Green Bay open-water samples were combined and used along with the Northern,
350 East-Central, and Southeastern samples to estimate spawning population contributions to
351 different Lake Michigan regions (Fig. 1B). Finally, all samples were combined to estimate
352 spawning population contributions to all Lake Michigan open-water samples for the entire
353 basin. We used the Reynolds and Templin (2004) approach to test whether spawning population
354 contributions were consistent among the four Green Bay open-water regions (South, Central B,
355 Central A, North; Fig. 1A) and the four Lake Michigan open-water regions (Green Bay (GB),
356 North (N), East-Central (EC), Southeastern (SE); Fig. 1B). We used the asymptotic theory
357 approach rather than the resampling approach for conducting the likelihood ratio tests
358 (Reynolds and Templin 2004).

359 For the nearshore analyses, we quantified spawning population contributions to the
360 Menominee and Oconto/Peshtigo river mouths overall and separately by spring (April-June)
361 and fall (September-November) seasons. Our hypothesis was that spawning population
362 contributions would differ seasonally with higher contributions from the spawning population
363 associated with those particular rivers during the spring when spawning individuals would be
364 expected to return to natal streams to spawn. The Reynolds and Templin (2004) asymptotic
365 approach was used to conduct likelihood ratio tests of population compositional heterogeneity
366 for river mouth collections between seasons for each river.

367

368 **Estimation of relative stock recruitment**

369 Trends in relative recruitment of the lake sturgeon spawning populations contributing to the
370 Green Bay samples were assessed using the methodology of Tsehaye et al. (2016), which is a
371 generalization of a method described in Brenden et al. (2018). In describing their methodology,
372 Tsehaye et al. (2016) included an application of their method to lake sturgeon samples from Lake
373 Michigan. Analyses conducted here differ from that of Tsehaye et al. (2016) in that a much
374 larger mixture sample size is analyzed that includes both nearshore and open-water areas of
375 Green Bay; additionally, this analysis includes one additional lake sturgeon spawning population
376 (i.e., the Kalamazoo River). Our analyses also differ from that of Tsehaye et al. (2016) in that we
377 account for age-based selectivity of the sampling gear used to collect lake sturgeon from the
378 mixture region, and sensitivity analyses are conducted to determine how violations in
379 assumptions may affect relative recruitment estimates (see details below). We chose to not
380 include samples from the other Lake Michigan regions due to small sample sizes and
381 inconsistencies in lengths of sampled individuals and the years from which samples were
382 obtained, which could influence the results of the relative recruitment analysis.

383 For lake sturgeon, the critical time period (i.e., early life when mortality is high; Hjort
 384 1914) is believed to be the first several months after hatching. During this larval-phase period,
 385 survival of lake sturgeon can be highly variable and depend on a variety of factors, including
 386 environmental conditions, availability of prey and/or habitat, and presence of predators
 387 (Caroffino et al. 2010). After the larval period, lake sturgeon transition to an age-0 juvenile state
 388 during which they develop protective scutes and reach large enough sizes that they are fairly
 389 invulnerable to predation (Caroffino et al. 2010). The point of recruitment we attempted to index
 390 was when fish had attained the age-0 juvenile state (approximately 4 months of age and 150 mm
 391 total length). Indexing recruitment to an earlier life history point would not be possible without
 392 detailed information on the mortality rates experienced by individual year classes during egg or
 393 larval stages.

394 Under the Tsehaye et al. (2016) approach, the expected proportional contributions to a
 395 mixture from the i -th source for the a -th age class in the s -th sampling year is

$$396 \quad (1) \quad P_{i,a}^s = \frac{sel_a \dot{N}_{i,a}^{s-a}}{\sum_{i=1}^I \sum_{o=\min(\text{age})}^{\max(\text{age})} sel_o \dot{N}_{i,o}^{s-o}}$$

397 where $\min(\text{age})$ and $\max(\text{age})$ reference the minimum and maximum age in the mixture, $s-o$ and
 398 $s-a$ index the correct year class for calculating the proportional contributions, I is the total
 399 number of spawning populations, sel_a is the relative vulnerability of the a -th age class to the
 400 sampling gear used to collect individuals from the mixture, and \dot{N} is the expected relative
 401 abundances at age for the spawning populations contributing individuals to the assessed mixture.
 402 Although Tsehaye et al. (2016) did not evaluate the ability of the proposed model to estimate
 403 age-specific selectivities, preliminary evaluations that we conducted as part of this research
 404 suggested that the model could accurately estimate selectivities at least in some situations. The

405 approach for estimating selectivities is described below. The true age-specific relative
 406 abundances of the spawning populations for the y -th year class is

$$407 \quad (2) \quad N_{i,a}^y = \frac{\dot{N}_{i,a}^y}{d_{i,a}}$$

408 where $d_{i,a}$ is the fraction of fish from the i -th spawning population and a -th age class that move
 409 into the mixture region (Brenden et al. 2018). An estimate of $d_{i,a}$ is not required for the analysis;
 410 however, it is necessary to assume that $d_{i,a}$ is constant over time and age although we did conduct
 411 sensitivity analyses to determine the effect that deviations from this assumption had on
 412 recruitment estimates (see below). The Tsehaye et al. (2016) method for estimating trends in
 413 relative recruitment decomposes age-specific relative abundances into recruitment and mortality
 414 components

$$415 \quad (3) \quad \log_e(N_{i,a}^y) = \log_e(N_{i,0}^y) - \sum_{o=1}^a Z_{i,o-1}$$

416 with recruitment modeled through a standard population growth function

$$417 \quad (4) \quad \log_e(N_{i,0}^y) = \log_e(\alpha_i + \beta_i \cdot y).$$

418 In the above equations, $\sum_{o=1}^a Z_{i,o-1}$ is the cumulative instantaneous total mortality experienced
 419 by the i -th spawning population up to the a -th age class, $N_{i,0}^y$ is the abundance at age 0 (or some
 420 other specified age of recruitment) for the i -th spawning population and y -th year class, α_i is the
 421 recruitment level for the i -th spawning population for the first assessed year class, which is a
 422 function of the years that samples are collected and the age range of individuals collected from
 423 the mixture, and β_i is the instantaneous rate of change in recruitment for the i -th spawning
 424 population. The estimation of actual abundance is not possible based simply on mixture
 425 composition data; consequently, it is necessary to impose a constraint that the α_i on a \log_e scale
 426 sum to 0 (i.e., $\sum_{i=1}^I \log_e(\alpha_i) = 0$) for the model to be estimable (Tsehaye et al. 2016). This

427 constraint is necessary because otherwise multiple solutions exist (i.e., multiple sets of α_i can
428 produce the same mixed stock compositions). Additionally, to reduce parameter correlations it is
429 beneficial to estimate the rates of change in recruitment as

$$430 \quad (5) \quad \beta_i = \bar{\beta} + \delta_i,$$

431 where $\bar{\beta}$ is the overall mean instantaneous rate of change in year-class strength of the sources and
432 δ_i ($\sum_{i=1}^I \delta_i = 0$) are the spawning population specific deviations from the overall mean (Tsehaye
433 et al. 2016). We assumed an annual instantaneous total mortality rate of 0.05 for lake sturgeon
434 past the point of recruitment (Elliott and Gunderman 2008) although sensitivity analyses were
435 conducted to determine the effect that deviations from this assumption had on recruitment
436 estimates (see below).

437 Age estimates were not available for Lake Michigan lake sturgeon. Consequently, we
438 used the length-as-a-surrogate-for-age approach for estimating trends in relative recruitment
439 (Tsehaye et al. 2016). Based on existing age-length data for Lake Michigan lake sturgeon (Elliott
440 and Gunderman 2008), a single von Bertalanffy growth model with parameter estimates of $L_{\infty} =$
441 181.46 cm, $k = 0.079$, $t_0 = -2.023$ yrs, and $\sigma = 0.109$ (assuming a multiplicative error structure)
442 was used to convert lengths of fish in mixture samples to ages. We assumed growth rates were
443 comparable across the lake basin, although we did conduct sensitivity analyses to determine the
444 effect that deviations from this assumption had on recruitment estimates (see below). Based on
445 the resulting ages, we used an age range of 0–37 years for assessing recruitment changes. Given
446 this age range and that samples from Green Bay were largely restricted to collections from 2001
447 to 2009, the first modeled year class corresponded to 1964 whereas the last modeled year class
448 corresponded to 2009.

449 Age-specific selectivities of the sampling used to collect individuals from the mixture
 450 were estimated using an additive combination of logistic and normal selectivity functions

$$451 \quad (6) \quad sel_a = \gamma \cdot \exp\left(-\frac{(a - \theta_1)^2}{2.0 \cdot \theta_2^2}\right) + \frac{1}{1 + \exp(\theta_3 \cdot (a - \theta_4))}$$

452 where θ_1 and θ_2 are parameters for the normal component of the function, θ_3 and θ_4 are
 453 parameters for the logistic component of the function, and γ determines the relative contribution
 454 of the normal component of the function to the logistic component. When estimating this
 455 function, γ was constrained to be between 0 and 1, meaning that the normal component of the
 456 selectivity function was constrained to be less than or equal to the logistic component of the
 457 function. The estimated selectivity values were scaled to a range of 0 to 1. This additive logistic
 458 and normal function was chosen because it yielded a better fit to observed data than simpler
 459 selectivity functions (e.g., logistic function alone, normal function alone, lognormal function,
 460 gamma function; Supplemental Table S3).

461 Under the length-as-a-surrogate-for-age approach for estimating trends in relative
 462 recruitment, the conditional likelihood (\mathcal{L}) of observing mixture genotype samples for a
 463 particular sample given model parameters is specified as

$$464 \quad (7) \quad \mathcal{L}(\mathbf{Q}, \boldsymbol{\alpha}, \bar{\beta}, \boldsymbol{\delta}, \boldsymbol{\theta}, \gamma, \mathbf{Y}|\mathbf{X}) = \prod_{m=1}^M \sum_{i=1}^I \sum_{j=\min(\text{age})}^{\max(\text{age})} T_{i,L_m j} [P_{i,j}^s(\boldsymbol{\alpha}, \bar{\beta}, \boldsymbol{\delta}, \boldsymbol{\theta}, \gamma)] f(\mathbf{X}_m | \mathbf{Q}_i) \pi(\mathbf{Q} | \mathbf{Y})$$

465 ,

466 where \mathbf{Q} are the allele relative frequencies at each locus for each source which is determined
 467 from the collection and genotyping of individuals collected from the spawning populations (\mathbf{Y}),
 468 M is the total number of samples from the mixture, \mathbf{X}_m is the observed allele count for all loci for
 469 the m -th individual, $f(\mathbf{X}_m | \mathbf{Q}_i)$ is the probability of an individual from the i -th spawning
 470 population having the same genotype as the m -th individual from the mixture, which is

471 determined from the allele relative frequencies for the i -th population under an assumption of
472 Hardy-Weinberg equilibrium (Pella and Milner 1987; Pella and Masuda 2001), $T_{i,L_m,j}$ is the
473 probability that the m -th individual of length L_m from the mixture is age j given that it is from the
474 i -th spawning population, and $P_{i,j}^s(\alpha, \bar{\beta}, \delta, \theta, \gamma)$ simply denotes that $P_{i,j}^s$ is a function of $\alpha, \bar{\beta}, \delta, \theta,$
475 and γ . The likelihood specified in equation 7 does not include parameters for mortality or growth
476 as these are treated as pre-specified constants. In Equation 7, $\pi(\mathbf{Q}|\mathbf{Y})$ is the posterior probability
477 distribution for allele frequencies of the spawning populations (\mathbf{Q}) given the collection and
478 genotyping of individuals collected from the spawning population (\mathbf{Y}) calculated following
479 Rannala and Mountain (1997) with a Dirichlet probability density function assumed as the prior.
480 \mathbf{Q} is not updated as part of the model fitting process, which is why the likelihood in Equation 7 is
481 referred to as a conditional likelihood.

482 We fit the relative recruitment model to our Lake Michigan lake sturgeon spawning
483 population and mixture data using AD Model Builder (Fournier et al. 2012). Model parameters
484 were estimated by highest posterior density estimation (also referred to as maximum penalized
485 likelihood) using the quasi-Newton optimization algorithm implemented in AD Model Builder.
486 Penalties that were assigned to parameters to help regularize the model and achieve estimation
487 convergence included assuming the α s and $\bar{\beta}$ were from normal distributions with means of 0
488 and standard deviations of 12 and 8, respectively. For other parameters, upper and lower bounds
489 were specified to help keep the optimization algorithm from flat parts of the likelihood surface.
490 Uncertainty in recruitment parameters from Equation 4 (i.e., α s, β s) and in the relative
491 recruitment values for the final assessed year (i.e., 2009) was assessed by likelihood profiling as
492 implemented in AD Model Builder.

493

494 **Sensitivity of relative recruitment estimates**

495 We assessed sensitivity of relative recruitment estimates to potential violations in assumptions
496 about mortality, growth, and movement. To evaluate sensitivity to assumptions about growth, we
497 generated 100 random sets of population-specific von Bertalanffy growth model parameters and
498 then refit the relative recruitment model for each of these sets of new growth parameters to
499 determine how sensitive relative recruitment estimates were to the specified growth parameters.
500 Thus, for these 100 sets of population specific parameters, it was assumed that growth varied
501 among fish from the different source populations. The random growth model parameters were
502 generated from a multivariate normal probability distribution, with the mean vector and
503 covariance matrix set equal to the estimates from fitting a von Bertalanffy growth model to
504 existing age-length data for Lake Michigan lake sturgeon (Elliott and Gunderman 2008). To
505 evaluate sensitivity to mortality assumptions, we conducted two sets of simulations. For the first
506 set, we randomly generated population-specific total mortality rates from uniform distributions
507 with lower and upper bounds of 0.01 and 0.10, respectively and then refit the relative recruitment
508 model. For the second set of simulations, we randomly generated age-specific mortality
509 estimates for the different spawning populations and then refit the relative recruitment model.
510 For fish younger than age 10, age-specific mortality rates for each of the populations were
511 randomly generated from uniform distributions with lower and upper bounds of 0.05 and 0.10,
512 respectively. For fish age 10 and older, age-specific mortality rates were randomly generated
513 from uniform distributions with lower and upper bounds of 0.005 and 0.045, respectively. As
514 with the simulations for evaluating sensitivity to assumed growth, we repeated this 100 times,
515 generating new mortality rates for each iteration and refitting the relative recruitment model.
516 While the range of mortality rates that was considered was small, the life span for lake sturgeon

517 ranges from 50 to 150 years depending on sex, which would be highly improbable with mortality
518 levels much greater than 0.1, especially in light of major reductions in harvest and by-catch of
519 lake sturgeon that has occurred on the Great Lakes. To assess sensitivity to assumptions about
520 movement, we refit the relative recruitment model under an assumption that the movement rate
521 for age-5 and younger lake sturgeon to the mixture for all spawning populations was lower than
522 the movement rate for age-6 and older lake sturgeon. Such lower movement rates could arise
523 from young lake sturgeon initially residing in tributaries or near river mouth areas where they
524 were spawned before migrating out to open-water areas of Green Bay or Lake Michigan
525 (Caroffino et al. 2010). We believe this is the most likely scenario for age-related differences in
526 movement for lake sturgeon and believe it to be highly unlikely that older lake sturgeon would
527 have lower movement rates to mixture regions than younger fish. For each age and spawning-
528 population, we randomly generated movement rates from uniform distributions with lower and
529 upper bounds of 0.25 and 0.75. As with the other sensitivity scenarios, we generated these age-
530 and spawning-population-specific movement rates 100 times and refit the relative recruitment
531 model to determine how recruitment estimates changed across the simulations.

532

533 **Results**

534 **Genetic differentiation within and among spawning populations**

535 Expected heterozygosity (H_e) in the spawning populations ranged from 0.49 to 0.61 whereas
536 mean allelic richness (A) ranged from 4.42 to 5.00 (Supplemental Table S2) suggesting that the
537 populations had similar levels of genetic diversity despite large historical differences in
538 spawning population abundance (Holey et al. 2000; DeHaan et al. 2007). Genotypic
539 frequencies deviated modestly from Hardy-Weinberg expectations (population mean

540 inbreeding coefficient F_{is} range -0.01 to 0.059); frequencies were significantly different from
541 Hardy-Weinberg expectations in just one of the spawning populations [Manistee River (0.059),
542 Supplemental Table S2].

543 Spawning populations of lake sturgeon from tributaries of Lake Michigan were
544 genetically differentiated in microsatellite allele frequency. Pair-wise estimates of inter-
545 population variance in allele frequency (F_{st}) were significantly different for all population pairs
546 (Table 1), with the exception of the Oconto and Peshtigo Rivers ($F_{st}=0.009$, where the type-1
547 error rate for the pairwise tests was 0.0034 after Bonferroni correction). Based on insignificant
548 allele frequency differentiation, lake sturgeon from the Oconto and Peshtigo Rivers were
549 combined for mixture analyses. Estimates of inter-population F_{st} ranged from 0.017 (Fox River
550 to nearby Oconto/Peshtigo Rivers; Fig. 1, Table 1) to 0.148 (Kalamazoo River vs Menominee
551 River; Fig. 1, Table 1), with overall patterns of differentiation similar to a previous study of
552 these populations based on smaller sample sizes (Homola et al. 2012). Generally, inter-
553 population variation in allele frequency among spawning populations of lake sturgeon was
554 greater between eastern and western basin tributaries than between tributary spawning
555 populations within the eastern or western basin. The Kalamazoo River population was more
556 divergent in allele frequency than other Lake Michigan populations (Table 1, Supplemental
557 Table S2).

558

559 **Analysis of baseline populations: mixture simulation analyses**

560 Results from simulated mixtures based on leave-one-out analyses (Fig. 2) suggested that on
561 average there was sufficient genetic differentiation among the spawning populations using 12
562 disomic microsatellite loci to estimate mixture contribution within 3% of true contributions.

563 Based on the leave-one-out cross validation simulations, the mean absolute deviation between
564 estimated and simulated population contributions ranged from 2.1 to 3.2% for the different
565 spawning populations, with the highest deviance for the Fox and Oconto/Peshtigo spawning
566 populations and the lowest deviance for the Kalamazoo and Muskegon populations (Fig. 2). This
567 means on average across the simulations, estimated spawning population contributions were
568 within approximately $\pm 3\%$ of the simulated population contributions. The maximum absolute
569 deviation from the leave-one-out cross validation simulations ranged from 9.3 to 16.4% for the
570 different spawning populations, with the highest deviance again observed for the Fox and
571 Oconto/Peshtigo spawning populations (Fig 2).

572 The results from the 100% mixture simulations also indicated that proportional
573 contributions of tributary spawning populations could be assigned with high confidence
574 (Supplemental Table S4). The estimated proportional contributions for the population of origin
575 (i.e., diagonal values of the misclassification matrix) ranged from 93.1% to 96.9%; Supplemental
576 Table S4). For all simulations, the upper 95% confidence limit for the proportional contribution
577 estimate for the population of origin was 100%. Most misallocations were to streams in close
578 geographic proximity (within the eastern or western lake basin), and to populations which had
579 similar allele frequencies (i.e., as reflected in inter-population F_{st} values; Table 1).

580 **Hierarchical analyses of mixture composition for open-water collections**

581 Compositional estimates of population mixtures from individual sampling locations within
582 open-water areas of Green Bay (Fig. 1A) revealed considerable spatial variation in population
583 contributions from southern to northern locations with compositions generally reflecting
584 proximity of sampling locations to natal streams (see Supplemental Table S5 for point
585 estimates and upper and lower 95% CIs). Samples from the southern portion of Green Bay

586 [e.g., Southern Green Bay (SGB), Pensaukee (PEN), Dykesville (DYK), and Oconto River
587 Area (ORA)] included higher percentages from the Oconto/Peshtigo and Fox Rivers than
588 samples further north in Green Bay (Fig. 1A; Supplemental Table S5). Contributions from the
589 Menominee River spawning population increased in sampling locations north of the Peshtigo
590 Reef (PRF) area (Fig. 1A; Supplemental Table S5) except for samples from around Chambers
591 Island (CHI) that were composed almost entirely of individuals from the Oconto/Peshtigo
592 River. The contributions from lake sturgeon spawning populations in Michigan tributaries to
593 Green Bay mixtures were generally low. The Muskegon, Kalamazoo, and Manistee spawning
594 populations composed approximately 26% and 21% of the mixture from the Washington
595 Islands and Door County sampling locations, respectively, both on the outer edge or just
596 outside of Green Bay. For the other Green Bay sampling locations, however, the Muskegon,
597 Kalamazoo, and Manistee spawning populations composed between 0 and 10% of the
598 mixtures with the lower 95% confidence limit for the contribution estimates generally at 0%
599 (Supplemental Table S5).

600 When the open-water Green Bay sampling locations were combined into regions, the
601 Oconto/Peshtigo River spawning population composed between 60 and 70% of the mixtures
602 in the Southern, Central A, and Central B areas of Green Bay (Fig. 1A; Supplemental Table
603 S6). The second greatest contributor in the Southern and Central A regions of Green Bay was
604 the Fox River spawning population; however, in the Central B region the second greatest
605 contributor was the Menominee River spawning population. In Northern Green Bay, the
606 Menominee River and Oconto/Peshtigo River spawning populations composed 37 and 31% of
607 the mixture, respectively, whereas the Fox River population composed 19% of the mixture.
608 Point estimates for contributions to Green Bay mixtures from eastern basin populations
609 (Manistee, Muskegon, Kalamazoo Rivers) were near zero for every region in Green Bay

610 except the Northern region (Fig. 1A; Supplemental Table S6).

611 At a lake-wide spatial scale, mixture compositions varied across the regions (Fig. 1B;
612 Supplemental Table 7). Overall, Michigan spawning populations were estimated to have
613 contributed approximately 14% of the fish in the mixtures, with fairly equal contributions
614 from the Manistee and Muskegon River populations. The Menominee River spawning
615 population composed the majority of the mixture for the entire lake basin scale (65%) and in
616 Green Bay (77%), followed by the Oconto/Peshtigo River spawning population (entire lake
617 basin: 16%; Green Bay: 17%). The large contribution of Menominee River fish was in part
618 attributed to the large number of fish from nearshore habitats estimated to have originated
619 from this tributary (see river-mouth results below). For the entire lake basin, the Manistee
620 (7%) and Muskegon River (7%) spawning population composed the next highest proportions
621 of the mixture, whereas in Green Bay the Fox River spawning population provided the next
622 highest proportion of the mixture (4%). In the north region which included both northern
623 Green Bay and the north end of Lake Michigan's main basin, the Menominee,
624 Oconto/Peshtigo, and Fox River spawning populations composed approximately 91% of the
625 mixture, with the rest of the mixture composed of the Manistee (5%) and Muskegon (4%)
626 River spawning populations. For the East-Central region of the lake, the Manistee River
627 population composed the greatest amount of the mixture (48%) followed by the Muskegon
628 (44%) and Fox (6%) River spawning populations. For the Southeast region, the Muskegon
629 River spawning population composed the majority (58% of the mixture), followed by the
630 Manistee (22%) and Menominee (12%) River spawning populations. The Kalamazoo River
631 spawning population overall was a minor contributor of fish to the assessed mixtures and was
632 estimated to compose no more than 2% of the mixtures in any of the regions.

633 For both the open-water Green Bay and Lake Michigan regions, the null hypothesis

634 that each of the regions had equal spawning population contributions was rejected (Table 2).
635 Based on pairwise testing of the regions, spawning population contributions to the Northern
636 region of Green Bay were significantly different from the contributions to the South and
637 Central A regions; however, we were unable to detect contribution differences between the
638 Northern and Central B regions (Table 2). We also did not detect contribution differences
639 between the Southern, Central A, and Central B regions (Table 2). For the whole lake regions,
640 spawning population contributions to the East Central and Southeastern regions were
641 significantly different from the contributions to the Green Bay and North Regions (Table 2).
642 We were unable to detect differences between any of the other regions (Table 2).

643

644 **Compositional variation between ages and seasons**

645 When sampling locations in Green Bay were combined into groups, sample sizes were
646 sufficient to estimate proportional contributions of tributary baseline populations to mixture
647 portions comprised of adult (≥ 110 cm TL) and sub-adult (< 110 cm TL) age groups (Table 3).
648 Mixture composition point estimates for western basin (Wisconsin) populations often varied
649 by 10% or more between age groups within a location. However, sample sizes were
650 comparatively small, 95% confidence intervals were concomitantly larger, and thus no
651 significant difference in the compositions between the length-based groups was detected in
652 any Green Bay region (likelihood ratio tests ($P > 0.05$, data not shown). As with the grouped
653 open-water Green Bay data with combined ages (Supplemental Table S6), we observed no
654 statistical support for contributions of any eastern basin tributary population to mixtures of
655 either age group in Green Bay.

656 Fish from different natal tributaries may utilize river-mouth habitats in different
657 frequencies during different seasons (Donofrio et al. 2018). Extensive sampling in nearshore

658 river-mouth habitats allowed compositional comparisons to be made between spring and fall
659 mixtures. Samples were combined across ages and years to increase sample size. Simulation
660 analyses (Supplemental Table S4) indicated that samples could be assigned to their true
661 population with high accuracy (96.96% for Menominee River fish and 95.92% for
662 Oconto/Peshtigo fish). Mixture compositional estimates for both spring and fall were
663 considerably lower than 100% indicating that the aggregations even during the spring season
664 outside the rivers proper, were comprised of fish from several tributaries (Table 4). This was
665 particularly evident for the Oconto/Peshtigo River where a considerable proportion of the
666 spring and fall mixtures were estimated to have come from the Menominee River. The large
667 proportions of Menominee River fish captured in mixtures in nearshore waters contrasts with
668 results from open-water regions of Green Bay (Supplemental Table S6). Compositions were
669 not statistically different between spring and fall collections for either river (likelihood ratio
670 tests, $P > 0.05$).

671

672 **Relative recruitment for spawning populations**

673 The demographic composition of stock mixtures as evidenced by differences in lake sturgeon
674 size distributions varied considerably across regions of Lake Michigan (Fig. 3). Size distributions
675 for fish in the western portion of the basin, including Green Bay waters and waters of the
676 northern Lake Michigan were generally unimodal with median sizes at or slightly above the size
677 of sexual maturity used in analyses (~110 cm). Mean and standard deviation in total length was
678 115.4 and 35.78 cm (open-water Green Bay), 132.6 and 16.49 cm (Green Bay River mouth), and
679 129.80 and 29.23 cm (Northern). Samples from regions of the eastern basin including
680 EastCentral (EC) (mean and SD: 74.61 and 38.90 cm) and SouthEast (SE) (mean and SD: 70.64
681 and 44.46 cm) were generally smaller on average and more uniformly distributed and exhibited a

682 larger range of sizes (Fig. 3). All pairwise inter-regional Kolmogorov-Smirnov test comparisons
683 for size distributional heterogeneity were found to be significantly different, with the exception
684 of EC vs SE and Northern vs Green Bay regions (Supplemental Table S8). Because of the
685 heterogeneity in size (and therefore age) distributions among regions, and the large disparities in
686 sample size across regions in the basin, only relative stock recruitment estimates for Green Bay
687 were estimated based on combined samples from all nearshore river-mouth and open-water
688 collections (combined N=1732).

689 The combined normal and logistic selectivity function that was estimated as part of the
690 relative recruitment model indicated that the contribution of the normal component of the model
691 was equal to that of the logistic component (i.e., $\gamma = 1.0$). The estimated age-specific selectivities
692 peaked at approximately 14 years (Fig. 4), which based on the assumed growth model
693 corresponded to an expected length of approximately 130 cm, and was the approximate length
694 for the mode of the length-frequency distribution for the combined Green Bay sample (Fig. 3).
695 The inflection point for the logistic component of the selectivity function was at approximately
696 17 years of age, and selectivities reached an asymptote of approximately 83% at around 25 years
697 of age (Fig. 4)

698 For lake sturgeon populations associated with Michigan tributaries, there was
699 considerable uncertainty in each of the recruitment parameter estimates, which likely was due to
700 these spawning populations contributing less than 1% of the fish collected from the Green Bay
701 mixture (Supplemental Table S7). As a result, there were insufficient data to estimate how
702 recruitment levels for the spawning populations from these tributaries were changing over time.
703 Consequently, we do not discuss the results for the eastern basin spawning populations further.

704 Of the assessed Wisconsin spawning populations, the initial (first assessed year class)
705 estimates of recruitment to the Green Bay populations ($\log \alpha$) were highest for the Fox River,
706 followed by the Menominee and Oconto/Peshtigo Rivers (Table 5). However, as pointed out by
707 Tsehaye et al. (2016), comparisons of the initial recruitment values are only valid if movement
708 rates are equal among the spawning populations. Otherwise, differences in these initial
709 recruitment values could just be indicative of populations differing in movement rates to the
710 mixture area. With respect to changes in recruitment levels, the Fox, Menominee, and
711 Oconto/Peshtigo had positive β estimates suggesting their recruitment levels were increasing
712 over time (Table 5). The Oconto/Peshtigo Rivers has the largest β estimate, suggesting that
713 recruitment for this spawning population had increased the most over the assessed time period,
714 followed by the Menominee and Fox Rivers. The likelihood profile confidence intervals for the β
715 estimates for the Oconto/Peshtigo and Fox Rivers did not overlap, suggesting that the increase in
716 recruitment for the Oconto/Peshtigo population was significantly better than the Fox population.
717 Conversely, the confidence interval for the β estimate for the Menominee River overlapped
718 those of the Oconto/Peshtigo and Fox Rivers, suggesting there were not statistically significant
719 differences in the recruitment trends for those populations.

720

721 **Sensitivity of relative recruitment estimates**

722 The sensitivity analyses suggested that the estimates of the rate of change in recruitment levels
723 (β) for the Wisconsin lake sturgeon populations were fairly robust to deviations in assumptions
724 about growth, mortality, and movement (Fig. 5). Results for the Michigan lake sturgeon
725 populations were more sensitive to violations in assumptions, although as indicated above the
726 relative recruitment estimates for Michigan populations were uncertain to begin with due to low

727 contributions to the Green Bay mixtures. Point estimates for the β s for the Wisconsin
728 populations were typically, although not always, encompassed within the range of the 95%
729 confidence intervals shown in Table 5. Estimates for all Wisconsin lake sturgeon populations
730 were greater than 0.0 suggesting that relative recruitment has indeed increased in most recent
731 year classes although for the Fox River spawning population some point estimates neared 0.0.
732 Results were most sensitive to differences in assumptions about growth rates of individual
733 spawning populations. Assumptions about mortality rates had little influence on estimates of the
734 β s and in many cases resulted in larger estimates for the spawning populations, although this was
735 directly influenced by the range of mortality rates that were considered and the assumption that
736 mortality rates of younger fish was greater than older fish. Likewise, assumptions about
737 movement rates quite often resulted in higher estimates of β s for the Wisconsin spawning
738 populations again likely due to the assumption that movement rates were lower for younger fish.

739

740 **Discussion**

741 Results of this study tie together estimates of mixed stock composition and relative
742 stock-specific recruitment trends from the same dataset, which we hope will inspire other
743 researchers with large-scale genotyping datasets to apply our approach as a means to
744 improve understanding of metapopulation demographics and dynamics (Cadrin 2020;
745 Koljonen et al. 2021). The concept that the co-occurrence of locally distinct spawning
746 populations improves overall population stability and resiliency at larger spatial scales has
747 been referred to as portfolio theory or portfolio effects (Schindler et al. 2010; DuFour et al.
748 2015). The premise of the portfolio theory is that distinct spawning populations have
749 intrinsic differences in reproductive efforts due to regional differences in control mechanisms
750 (DuFour et al. 2015). Consequently, populations that are part of metapopulations can have

751 greater stability than individual populations or degraded populations. However, protecting
752 metapopulations from natural or anthropogenic disturbances can be difficult. The
753 methodologies presented in this paper provide a means to better understand demographics
754 (e.g., recruitment) of individual populations, and a way to assess overall recruitment health,
755 which should improve management efforts.

756 Our study results provide compelling evidence for non-random open-water and
757 nearshore habitat use by adult and sub-adult lake sturgeon from different tributary spawning
758 populations. While other studies (e.g., Stabile et al. 1996; Rusak and Mosindy 1997; Auer
759 1999a; Knights et al. 2002) have examined patterns of lake sturgeon movements, the
760 methods used in this study allowed analyses based on sample sizes far greater than those
761 possible via direct capture-mark-recapture or telemetry methods. In total, we genotyped
762 1,907 lake (open-water and nearshore) and 533 baseline (river-spawning) fish, which
763 considering the species' depressed abundance in Lake Michigan (Holey et al. 2000), likely
764 constitutes a substantial proportion of the lake's total lake sturgeon population. For example,
765 Elliott and Gunderman (2008) estimated the total abundance of lake sturgeon > 112 cm in
766 open-waters of central and southern Green Bay to be around 5,600 fish from 2002 to 2006.
767 Given the lake sturgeon's conservation status, it likely would have been too risky to
768 physically tag this number of lake sturgeon with internal or external transmitters to obtain
769 comparable movement information.

770 Our study results have significant management implications in terms of risk
771 assessment for Lake Michigan lake sturgeon populations. For example, if a portion of habitat
772 (e.g., different areas within Green Bay) were to become degraded through either point or
773 non-point pollution events, our results indicate that effects to specific populations would be
774 non-random. Similarly, if new fisheries developed or existing fisheries shifted gear types that

775 resulted in significant by-catch of lake sturgeon in particular areas, specific lake sturgeon
776 populations could be particularly vulnerable to harvest (e.g., Bott et al. 2009). Importantly,
777 using data described here, effects to specific tributary populations could be predicted based
778 on high probabilities of habitat occupancy of open-water and nearshore regions in close
779 proximity to natal rivers.

780

781 **Genetic structure of mixtures exists at multiple hierarchical scales**

782 Hierarchical spatial sampling indicated non-random occupancy by lake sturgeon in Lake
783 Michigan waters at microgeographic, regional, and basin-wide scales across the lake basin. At
784 the lowest scale of analysis, results indicated a high level of heterogeneity in the population
785 composition of mixtures collected from different portions of Green Bay, which has a total
786 surface area in excess of 2400 km². One example of compositional differences over a
787 microgeographical scale were 12% and 14% differences in mixture compositional point
788 estimates originating from the Oconto/Peshtigo and Menominee River populations from open-
789 water sampling locations MAR and PRA (Fig. 1, Supplemental Table S5) that were within 20
790 km of each other, and separated by a very shallow shoal extending out from land. Data
791 exemplify the potential spatial heterogeneity in areas occupied by members of different
792 spawning populations. Findings of significant spatial heterogeneity at small spatial scales even
793 though samples were collected over multiple years, suggest temporal consistency in open-water
794 habitat occupancy.

795 To interpret patterns across Green Bay, the contribution of particular spawning
796 populations to mixtures can be examined on a north-south gradient. The estimated contribution
797 from each population changed directionally in terms of population rank order of contribution to

798 mixtures from southern-most to northern most sampling locales. For example, contributions from
799 the eastern basin (Michigan tributaries) in the southern most sampling sites (SGB, DYK, PEN,
800 ORA collection sites within Green Bay) were absent. Southern Green Bay sampling locations
801 also lacked appreciable contributions from the Menominee River population, while being
802 dominated by individuals from the Oconto/Peshtigo River and Fox River tributaries.

803 The Menominee River lake sturgeon population has been identified as the most abundant
804 population in the Lake Michigan basin (Holey et al. 2000). However, fish originating from the
805 Menominee River were not the most widely distributed in terms of open-water areas within
806 Green Bay but did dominate nearshore (river mouth) areas. Fish from nearshore areas were
807 generally larger, which is consistent with prevalence of sexually mature adults prior to spring or
808 staging the fall before spawning events. Mixture compositional differences between open-water
809 (generally smaller) and nearshore (generally larger) individuals could indicate individuals are
810 spatially structured differently as a function of age. This was suggested in mixture compositional
811 comparisons between sub-adult and adult individuals in different regions of Green Bay.

812 Within the central region of Green Bay (sampling locations YRA/PRA, PRF/MAR,
813 LSS/LSN), mixture compositional differences were documented among sites that are in
814 relatively close geographic proximity. For example, the PRA/YRA open-water mixture included
815 a low proportional contribution of individuals originating from the Menominee River. The
816 neighboring PRF/MAR open-water sampling sites were dominated by fish of Menominee River
817 origin. Significant north to south heterogeneity in population contributions to mixtures were
818 further seen when sampling locations were aggregated into 'groups'.

819 Significant differences in mixture composition were likely dictated by physical features
820 (e.g., Peshtigo shoal on the western shore of Green Bay, near collection sites PRA and PRF), and

821 water circulation patterns (counter-clockwise within the bay, US EPA 1990). Significant
822 variation in population composition within areas as close as 20 km suggests that habitat use may
823 be dictated by bathymetric features or other aspects of lake habitat. For example, descriptions of
824 the species' depth limitations (approximately 18.2 m; Harkness and Dymond 1961) suggests that
825 benthivores such as lake sturgeon would be less likely to suspend and traverse deep-water areas
826 but rather would travel along shallow water areas.

827 The mixture compositional estimate for the Door County sampling site is not consistent
828 with mixture compositional trends at other nearby sampling locales. There are several likely
829 explanations. As above, Door County is really the only open lake site sampled outside (east) of
830 Green Bay proper, and physical barriers may cause unusual mixing of populations. Secondly,
831 there is a man-made channel through the Door County peninsula that could feasibly provide a
832 corridor for Fox River lake sturgeon to pass into the open lake. The other and perhaps more
833 likely scenario is that Wolf River (genetically indistinguishable from Fox River; DeHaan et al.
834 2007) have been stocked into two Wisconsin Lake Michigan tributaries south of the Door
835 County sampling site (Milwaukee and Kewanee Rivers). Hatchery-origin fish of Fox (or Wolf)
836 River origin could be represented in fish captured. We make a similar argument explaining
837 Wisconsin-origin baseline populations present in the SE portion of Lake Michigan (Fig. 1B).

838 At the eastern (Michigan) and western (Wisconsin) basin level, genetic data indicated
839 large and significant compositional heterogeneity in population contributions to open-water
840 mixtures. Results indicate there is limited trans-basin movements of individuals from different
841 sub-basin tributaries except to the northern portion of Green Bay and to the basin's Southeastern
842 region. Limited trans-basin movement of lake sturgeon in Lake Michigan is also supported by
843 genetic studies that found far greater variance in allele frequency between populations from

844 different sides of the Lake Michigan basin (DeHaan et al. 2006, Table 1 this study) and estimates
845 of inter-population straying of adults into non-natal rivers (Homola et al. 2012).

846 Within mixtures collected along the eastern shore of Lake Michigan (EC and SE regions),
847 point estimates of western basin population contributions were non-zero and higher than
848 estimates of eastern basin populations contributing to GB and N region mixtures. The lack of
849 reciprocity in assignments across northern Lake Michigan was surprising because Green Bay
850 offers shallow and productive habitat. Given that lake sturgeon are benthivores, habitat
851 productivity or other characteristics may be a significant factor influencing movements. The
852 contributions of small (Fig. 3) lake sturgeon in the SE region of Lake Michigan assigned to
853 Green Bay tributary populations could indicate that fish raised in stream-side hatcheries in
854 central and southern tributaries in Wisconsin, and stocked into the lake during the past decade
855 could be dispersing around the southern basin and into Michigan waters. Approximately 30% of
856 the Southeastern region collections was composed of fish <40 cm in length, which is consistent
857 with the expected size of fish from past stocking events. If smaller Southeastern region Lake
858 Michigan lake sturgeon are of hatchery origin, these findings could corroborate other findings
859 that some hatchery fish disperse considerable distances from release sites (Eggold et al. 2012). It
860 should be noted that point estimates of mixture contributions by trans-basin populations tended
861 to have large confidence intervals based on small sample sizes, particularly for eastern basin
862 mixtures. The magnitude and direction of non-zero trans-basin movements, while not large are
863 worthy of further study.

864 Studies of adult lake sturgeon habitat occupancy in other regions have documented a
865 variety of spatial patterns. Rusak and Mosindy (1997) use telemetry data to document
866 evidence for two subpopulations of lake sturgeon within a relatively restricted area of the
867 Rainy River and Lake of the Woods that were differentiated in part on movement patterns and

868 rates. The data indicated occupancy of different habitats in close proximity. Seasonal
869 differences in movement rates were also documented. Auer (1999a) examined habitat use and
870 movement by 25 adult (ranges in total length 130-174 cm) lake sturgeon in Lake Superior
871 after spawning in the Sturgeon River. Individuals inhabited a variety of water depths
872 throughout the lake and traveled throughout the southern portion of the lake (70-280 km). The
873 lack of concordance across studies suggests that any observed patterns of habitat use and
874 movement may not be generalizable to all populations of lake sturgeon inhabiting such
875 different habitats (i.e. riverine vs. lacustrine populations). Findings in this study indicating
876 that lake sturgeon are more likely to remain near natal streams during non-spawning periods
877 also differs from studies in other sturgeon species. For example, a recent study by Kazyak et
878 al. (2021) found subadult and adult Atlantic sturgeon (*Acipenser oxyrinchus*) migrate
879 extensively and are found in mixed-stock groups in non-natal habitats hundreds of kilometers
880 from natal streams in estuarine and marine environments.

881 Characteristics of habitat may be driving dispersal patterns, rather than dispersal
882 occurring in similar patterns irrespective of habitat. For example, Knights et al. (2002)
883 observed movement among lake sturgeon populations in the upper Mississippi River.
884 Individual fish were observed returning to certain habitat areas with common characteristics,
885 which the authors hypothesized might provide optimal feeding habitat. Also, groups of fish
886 tended not to overlap in the geographic range of their movements, suggesting that even when
887 movements occurred, all available habitats were not used equally by all individuals.

888 Habitat characteristics have been found to affect sturgeon movement in other species
889 and other regions. Researchers working in the Rainy River/Lake of the Woods system (Ontario,
890 CA/Minnesota, USA) tracked movements of individual lake sturgeon within both riverine and
891 lacustrine habitats over a three-year period (Rusak and Mosindy 1997). Results suggested that

892 movements were dictated by foraging behavior, as fish congregated at appropriate feeding
893 habitat areas. Similarly, work with the Gulf sturgeon, *Acipenser oxyrinchus desotoi*, found
894 that individuals tended to prefer specific microhabitats within rivers (Wooley and Crateau
895 1985). Results from a study of sub-adult Atlantic sturgeon, *Acipenser oxyrinchus*, suggested
896 that non-natal habitats are important for the persistence of sturgeon populations, although the
897 function of these habitats (feeding, protection, staging) was unknown (Savoy and Pacileo
898 2003).

899 Estimates of uncertainty in compositional estimates varied across the mixtures analyzed.
900 Results from leave-one-out analyses (Fig. 2) and 100% simulations (Supplemental Table S4)
901 revealed that mixture composition can be apportioned with high accuracy and minimal bias. The
902 large confidence intervals about estimates for several samples is likely due to the sample sizes
903 employed (Kalinowski 2004). Examination of mixtures of different sizes revealed a
904 demonstrable trend toward smaller confidence intervals when mixture sizes were larger.

905 Given the amount of time lake sturgeon spend in open-water habitats, certain habitats
906 may be occupied by a relatively high density of lake sturgeon while other habitat is vacant.
907 Based on results from Green Bay and across the Lake Michigan basin, differences in mixture
908 composition may be partially explained by physical features. A pattern of non-random habitat
909 occupancy may help managers prioritize habitats for restoration or protection, particularly if
910 the reasons for the pattern can be explained.

911

912 **Estimated relative recruitment parameters for Lake Michigan tributary populations**

913 For all Wisconsin spawning populations, our results suggest recruitment to the nearshore and
914 open-waters of Green Bay have increased over time for the assessed year classes (roughly 1964
915 to 2009). Elliott and Gunderman (2008) also concluded that recruitment observed in the early

916 2000s (2003 to 2006) was likely higher than during the preceding 10 to 40 years, although they
917 were not able to provide estimates for years prior to their study. Historically, Lake Michigan lake
918 sturgeon population abundance was suppressed due to a combination of overharvest and poor
919 recruitment attributed to habitat loss and degradation caused by dams and poor spawning habitat
920 quality (Hayes and Caroffino 2012). Commercial harvest of lake sturgeon in the US waters of the
921 Great Lakes was suspended in the late 1970s. While recreational and tribal fishing still occurs in
922 some rivers, tribal harvest in particular is limited, and these fisheries are highly regulated and
923 monitored. As a result, there has been an ostensible increase in spawning stock biomass in all the
924 Great Lakes, including Lake Michigan, where there is no recreational or tribal harvest, which
925 could be a factor contributing to improvements in recruitment for Wisconsin spawning
926 populations. Elliott and Gunderman (2008) estimated the annual spawning run size in the
927 Menominee, Oconto, Peshtigo, and Fox Rivers through mark-recapture analysis. They estimated
928 the Lower Menominee River to have a spawning run size of 340 fish, followed by the
929 Oconto/Peshtigo and Fox Rivers with spawning run sizes of 225 and 50 fish, respectively (Elliott
930 and Gunderman 2008). More recently, observations for some of these rivers suggest significant
931 increases in spawner numbers (Tucker et al. 2021). This suggests a positive relationship between
932 spawning stock size and trends in recruitment, although it is important to note that this is based
933 on the assessment of only 4 river systems. Additionally, two of these rivers have additional
934 sources of potential recruitment from upriver populations that occur in Lake Winnebago,
935 upstream of the Lower Fox River, and occur in the Upper Menominee River, upstream of the
936 dam in the lower Menominee River. Both the Winnebago population and the Upper Menominee
937 River are known to contribute fish to the Fox River and Menominee River populations,
938 respectively described in this study.

939 Curtailed most directed fishing for lake sturgeon in Lake Michigan means that
940 remaining impediments to lake sturgeon rehabilitation include factors such as habitat loss
941 (including effects of dams) and degradation leading to reduced recruitment or poor early life
942 (i.e., pre-juvenile stage) survival. The Menominee, Oconto, Peshtigo, and Fox Rivers have all
943 been dammed for extended periods of time, certainly periods longer than the age of the
944 largest/oldest fish in the data set. The rivers had been significantly impacted by anthropogenic
945 stressors including surrounding land use practices (urban and agriculture) and non-point source
946 pollution. Point source pollution abatement as mandated by the Clean Water Act of 1972 is
947 widely believed to have reduced point source pollution and improved opportunities for
948 successful reproduction, which also could be a factor contributing to positive recruitment trends
949 for the Wisconsin Rivers.

950 Although we had to make numerous assumptions about mortality, movement, and growth
951 to index relative recruitment levels for the Wisconsin spawning populations, we found that our
952 findings of improved recruitment were fairly insensitive to deviations from these assumptions
953 (Fig. 5). Under some sensitivity simulations, the rate of recruitment change approached 0 for the
954 Fox River spawning population, so additional investment of resources to assess the health of that
955 population that occurred during 2017-2020 were warranted (Tucker et al. 2021). If a decision
956 was made to use the relative recruitment model of Tschaye et al. (2016) as a framework to gauge
957 the health of individual spawning populations, it would be beneficial to enact assessment
958 programs that could provide information on factors such as growth, mortality, and movement for
959 individual spawning populations. Additionally, it would be beneficial for estimation purposes to
960 use standardized methods to collect lake sturgeon rather than having to rely on a multitude of
961 sampling gears to obtain samples from the mixture fishery.

962 At the outset of this project, we had initially wanted to combine mixtures sampled from
963 throughout the entire Lake Michigan basin so that we could index for recruitment levels for all
964 spawning populations rather than just Wisconsin spawning populations. However, we had to
965 abandon these plans because of differences in length frequencies and sample sizes among the
966 regions, which led to concerns about inconsistent results if we combined samples. A coordinated
967 and consistent sampling protocol would allow this type of an analysis to be performed.

968 In spite of the need to make some strong assumptions, we believe that in the absence of
969 comparable population recruitment estimates from other sources, data presented here constitute a
970 credible first step toward developing assessment programs to further address indicators of low
971 and declining recruitment. A strength of our analysis was the large sample sizes involved, with
972 relative recruitment estimate based on collections of 1907 open-water and nearshore captured
973 individuals of a range of ages that included representation of individuals from eastern basin
974 populations. The large sample sizes and comprehensive sampling of the region allowed us to
975 evaluate recruitment trends despite the compositional heterogeneity of the open-water mixtures
976 and larger area involved.

977

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992

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1312 **Figure Legends**

1313 Figure 1. Map presented on a hierarchical scale showing Lake Sturgeon open-water sampling
1314 locations and regions in (A) Green Bay, (B) regional sampling locations across the Lake
1315 Michigan basin including Green Bay (GB), EastCentral (EC), SouthEast (SE), Northern (N), and
1316 the (C) Great Lakes.

1317 Figure 2. Results from leave-one-out cross-validation simulations evaluating the expected
1318 accuracy in estimated spawning population contributions for mixtures composed of lake sturgeon
1319 from the Lake Michigan spawning populations evaluated in this study. Mixture compositions
1320 were generated randomly from Dirichlet populations with concentration parameters set to 1.5.
1321 Accuracy was evaluated by calculated mean (Mean AD) and maximum (Max. AD) absolute
1322 deviations between estimated and simulated contributions.

1323 Figure 3. Frequency histograms characterizing distributions of total body length (cm) for lake
1324 sturgeon sampled in different regions in the Lake Michigan basin.

1325 Figure 4. Estimated age-specific selectivities (i.e., relative vulnerabilities) to the sampling gear of
1326 lake sturgeon in Green Bay open-water and nearshore areas. Selectivities were estimated using
1327 an additive combination of logistic and normal selectivity functions (equation shown). Point
1328 estimates of the selectivity function parameters are provided as are the 95% profile likelihood
1329 confidence intervals for the parameters (values in parenthesis).

1330 Figure 5. Results from sensitivity analyses assessing how estimates of the relative recruitment
1331 change (b) parameter were affected by deviations from assumptions about growth, mortality, and
1332 movement.

Table 1. Pair-wise estimates of inter-population variance in allele frequency (F_{st}) for baseline adult spawning populations of lake sturgeon in tributaries to Lake Michigan.

Tributary	Western Basin Tributaries (Wisconsin)			(Eastern Basin Tributaries (Michigan))		
	Fox River	Oconto/Pestigo Rivers	Menominee River	Manistee River	Muskegon River	Kalamazoo River
	72 ^a	122 ^a	65 ^a	106 ^a	98 ^a	70 ^a
Fox River		0.017	0.044	0.044	0.052	0.136
Oconto/Pestigo Rivers			0.033	0.043	0.061	0.139
Menominee River				0.059	0.081	0.148
Manistee River					0.047	0.128
Muskegon River						0.066
Kalamazoo River						

^aBaseline sample size.

All pair-wise F_{st} estimates significant at $P < 0.01$ following Bonferroni correction for multiple testing.

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Table 2. Likelihood estimates of mixture heterogeneity (Reynolds and Templin 2004) for regional mixture composition comparisons (A) and among groups from within Green Bay (B). Locations shown in Figure 1.

							Conclusion:	
A. Regions of the entire Lake Michigan Basin							mixture	
Mixture comparison	Null Likelihood	General Likelihood	Likelihood Ratio	No. Groups Compared	df	P-value	compositional comparison	Interpretation
All regions pooled	-19300.3	-19086.798	213.502	4	15	0	Reject	All regions do not have the same population contributions
Ecentral (EC) vs Green Bay (GB)	-17246.8	-17080.8	166	2	5	0	Reject	Ecentral and GB do not have the same population contributions
Ecentral (EC) vs Northern (N)	-3235.79	-3187.08	48.71	2	5	2.54E-09	Reject	Ecentral and Northern do not have the same population contributions
Ecentral (EC) vs SE	-2920.43	-2915.718	4.712	2	5	4.52E-01	Fail to Reject	Cannot say that Ecentral and SE do not have the same population contributions
Green Bay (GB) vs Northern (N)	-16183.3	-16171.08	12.22	2	5	3.19E-02	Fail to Reject	Cannot say that GB and Northern do not have the same population contributions
GB vs SE	-15967.3	-15899.718	67.582	2	5	3.26E-13	Reject	GB and SE do not have the same population contribution
Northern (N) vs SE	-2027.5	-2005.998	21.502	2	5	6.51E-04	Reject	Northern and SE do not have the same population contributions

Bonferonni corrected alpha = 0.007

B. Aggregated Groups within Green Bay, WI

Mixture Comparison	Null Likelihood	General Likelihood	Likelihood Ratio	No. Groups Compared	df	P-value	Conclusion	Interpretation
Pooled	-14452.4	-14420.64	31.76	4	15	0.0069	Reject	All regions do not have the same population contributions
North vs South	-6074.24	-6048.98	25.26	2	5	0.0001	Reject	North and South do not have the same population contributions
North vs CentralA	-9367.64	-9349.53	18.11	2	5	0.0028	Reject	North and CentralA do not have the same population contributions
North vs CentralB	-4184.32	-4177.51	6.81	2	5	0.2352	Fail to Reject	Cannot say that North and CentralB do not have the same population contributions
South vs CentralA	-10248.6	-10243.13	5.47	2	5	0.3612	Fail to Reject	Cannot say that South and CentralA do not have the same population contributions
South vs CentralB	-5078.72	-5071.11	7.61	2	5	0.1791	Fail to Reject	Cannot say that South and CentralB do not have the same population contributions
CentralA vs CentralB	-8373.14	-8371.66	1.48	2	5	0.9154	Fail to Reject	Cannot say that CentralA and CentralB do not have the same population contributions

Bonferonni corrected alpha = 0.007

Table 3. Estimates and 95% confidence intervals of proportional population contributions to mixtures in different regions of Green Bay. Estimates are derived for all samples and according to body size categories generally corresponding to sub-adults (<110cm) and adults (\geq 110cm) based on total length. Locations are described in Figure 1.

Aggregate Group	Size group	Population					
		Western Basin Tributaries (Wisconsin)			Eastern Basin Tributaries (Michigan)		
		Fox	Menomenee	Oconto/Pesh	Manistee	Muskegon	Kalamazoo
South	All	0.252 (0.158 - 0.345)	0.039 (0.0 - 0.078)	0.699 (0.606 - 0.797)	0.007 (0.0 - 0.013)	0.004 (0.0 - 0.007)	0.000 (0.0 - 0.0)
	\geq 110mm	0.382 (0.226 - 0.543)	0.058 (0.0 - 0.116)	0.558 (0.385 - 0.758)	0.001 (0.0 - 0.0026)	0.000 (0.0 - 0.0)	0.000 (0.0 - 0.0)
	<110 mm	0.157 (0.041 - 0.269)	0.036 (0.0 - 0.071)	0.787 (0.671 - 0.912)	0.009 (0.0 - 0.018)	0.012 (0.0 - 0.023)	0.000 (0.0 - 0.0)
CentralA	All	0.200 (0.141 - 0.253)	0.157 (0.111 - 0.201)	0.637 (0.568 - 0.708)	0.000 (0.0 - 0.0)	0.006 (0.0 - 0.012)	0.000 (0.0 - 0.001)
	\geq 110mm	0.201 (0.130 - 0.266)	0.177 (0.110 - 0.232)	0.621 (0.539 - 0.703)	0.000 (0.0 - 0.0)	0.000 (0.0 - 0.0)	0.002 (0.0 - 0.003)
	<110 mm	0.194 (0.070 - 0.301)	0.106 (0.028 - 0.182)	0.655 (0.522 - 0.788)	0.002 (0.0 - 0.004)	0.044 (0.0 - 0.086)	0.000 (0.0 - 0.0)
CentralB	All	0.141 (0.029 - 0.254)	0.251 (0.134 - 0.363)	0.603 (0.463 - 0.759)	0.000 (0.0 - 0.0)	0.005 (0.0 - 0.009)	0.000 (0.0 - 0.0)
	\geq 110mm	0.171 (0.002 - 0.320)	0.283 (0.136 - 0.420)	0.519 (0.326 - 0.727)	0.000 (0.0 - 0.0)	0.027 (0.0 - 0.054)	0.000 (0.0 - 0.0)
	<110 mm	0.089 (0.0 - 0.1781)	0.188 (0.0 - 0.371)	0.723 (0.523 - 0.959)	0.000 (0.0 - 0.0)	0.000 (0.0 - 0.0)	0.000 (0.0 - 0.0)
North	All	0.194 (0.083 - 0.288)	0.377 (0.272 - 0.479)	0.304 (0.188 - 0.417)	0.069 (0.003 - 0.136)	0.039 (0.0 - 0.078)	0.017 (0.0 - 0.034)
	\geq 110mm	0.285 (0.1434 - 0.421)	0.433 (0.280 - 0.566)	0.193 (0.035 - 0.336)	0.051 (0.0 - 0.101)	0.039 (0.0 - 0.077)	0.000 (0.0 - 0.0)
	<110 mm	0.036 (0.0 - 0.071)	0.305 (0.139 - 0.462)	0.486 (0.299 - 0.697)	0.098 (0.0 - 0.196)	0.029 (0.0 - 0.057)	0.046 (0.0 - 0.092)

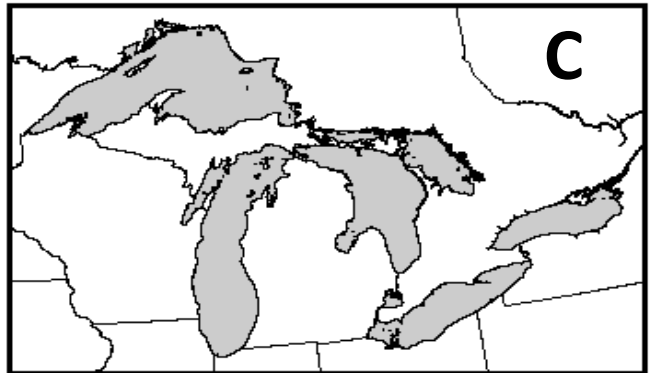
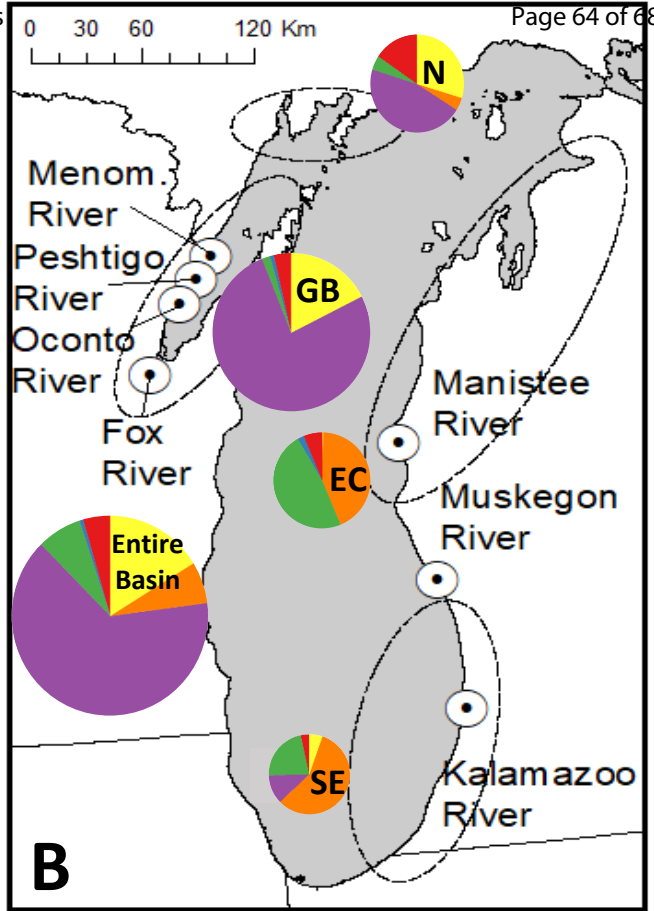
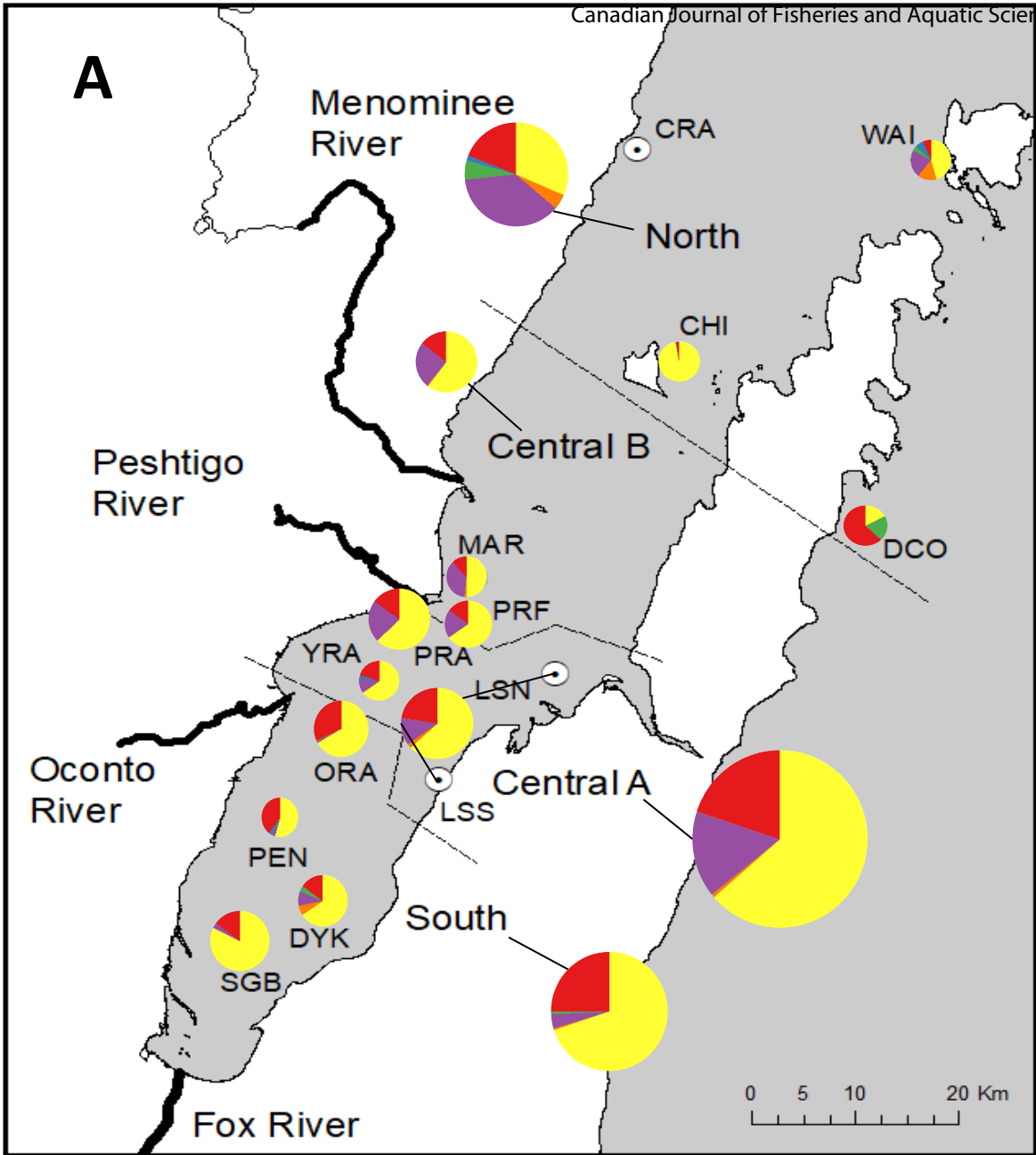
Table 4. Estimates and 95% confidence intervals of proportional population contributions to mixtures collected during the spring and fall in the river mouths and adjacent areas of the Menominee River and Pestigo/Oconto Rivers during the spring and fall over the period 2001-2008.

Near-shore and river mouth sampled	Season sampled	Sample size	Populations					
			Western Basin Tributaries (Wisconsin)			Eastern Basin Tributaries (Michigan)		
			Fox River	Menominee River	Oconto/Peshtigo Rivers	Manistee River	Muskegon River	Kalamazoo River
Menominee	Combined	758	0.032 (0.008 - 0.050)	0.850 (0.816 - 0.887)	0.108 (0.079 - 0.143)	0.002 (0.0 - 0.004)	0.000 (0.0 - 0.0)	0.009 (0.0 - 0.018)
	Spring	73	0.006 (0.0 - 0.011)	0.856 (0.766 - 0.950)	0.104 (0.017 - 0.189)	0.000 (0.0 - 0.0)	0.014 (0.0 - 0.028)	0.021 (0.0 - 0.041)
	Fall	685	0.035 (0.009 - 0.056)	0.848 (0.812 - 0.884)	0.107 (0.069 - 0.141)	0.003 (0.0 - 0.006)	0.000 (0.0 - 0.0)	0.007 (0.0 - 0.014)
Oconto/Peshtigo	Combined	210	0.054 (0.0 - 0.104)	0.408 (0.327 - 0.492)	0.533 (0.440 - 0.627)	0.006 (0.0 - 0.011)	0.000 (0.0 - 0.0)	0.000 (0.0 - 0.0)
	Spring	51	0.051 (0.0 - 0.101)	0.428 (0.326 - 0.523)	0.510 (0.397 - 0.618)	0.012 (0.0 - 0.023)	0.000 (0.0 - 0.0)	0.000 (0.0 - 0.0)
	Fall	159	0.058 (0.0 - 0.115)	0.345 (0.176 - 0.509)	0.597 (0.423 - 0.775)	0.000 (0.0 - 0.0)	0.000 (0.0 - 0.0)	0.000 (0.0 - 0.0)

Table 5. Estimates of relative recruitment parameters for baseline tributary spawning populations of lake sturgeon from Green Bay in the Lake Michigan Basin.

Basin Region	Baseline Population	Log alpha	95% LCL	95% UCL	Beta	95% LCL	95% UCL	Final Year Recruitment	95% LCL	95% UCL
Western Basin	Fox	7.201	3.698	9.853	0.084	0.005	0.196	10.983	7.010	15.316
	Menominee	4.328	1.731	6.556	0.266	0.186	0.369	16.276	11.821	21.035
	Oconto/Peshtigo	1.804	-0.983	4.140	0.350	0.258	0.464	17.542	12.961	22.510
Eastern Basin	Manistee	-0.630	-6.720	8.000	0.302	-0.342	0.543	12.963	-5.178	17.909
	Muskegon	-7.549	-11.235	5.945	0.519	-0.020	0.634	15.796	6.175	18.684
	Kalamazoo	-5.154	-11.438	3.408	0.437	-0.027	0.664	14.522	3.997	18.755

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KEY

Fox River	Manistee River	Muskegon River
Kalamazoo River	Menominee River	Oconto/Peshtigo rivers

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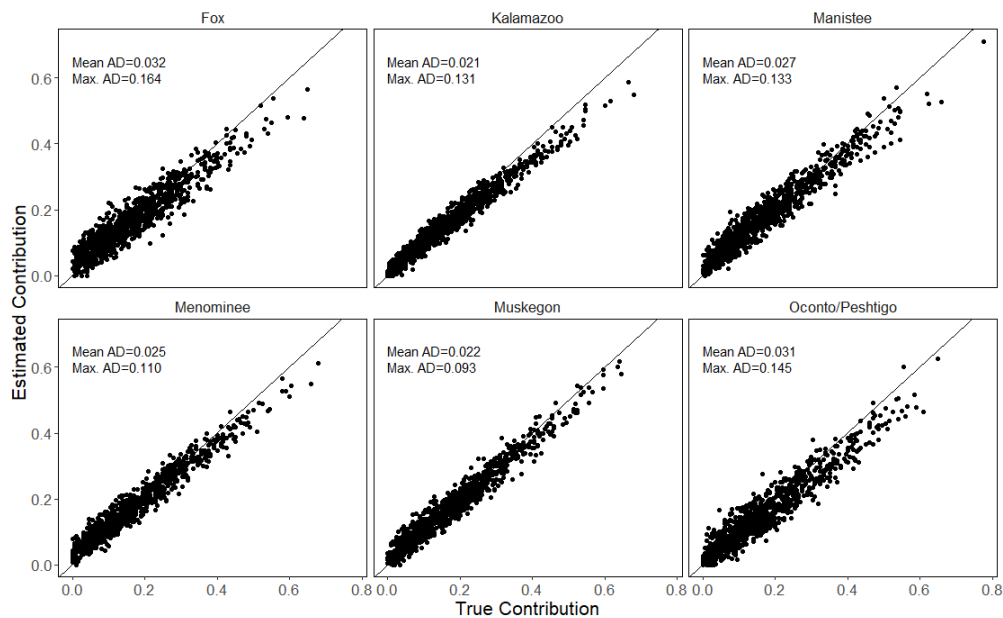


Figure 2. Results from leave-one-out cross-validation simulations evaluating the expected accuracy in estimated spawning population contributions for mixtures composed of lake sturgeon from the Lake Michigan spawning populations evaluated in this study. Mixture compositions were generated randomly from Dirichlet populations with concentration parameters set to 1.5. Accuracy was evaluated by calculated mean (Mean AD) and maximum (Max. AD) absolute deviations between estimated and simulated contributions.

392x245mm (72 x 72 DPI)

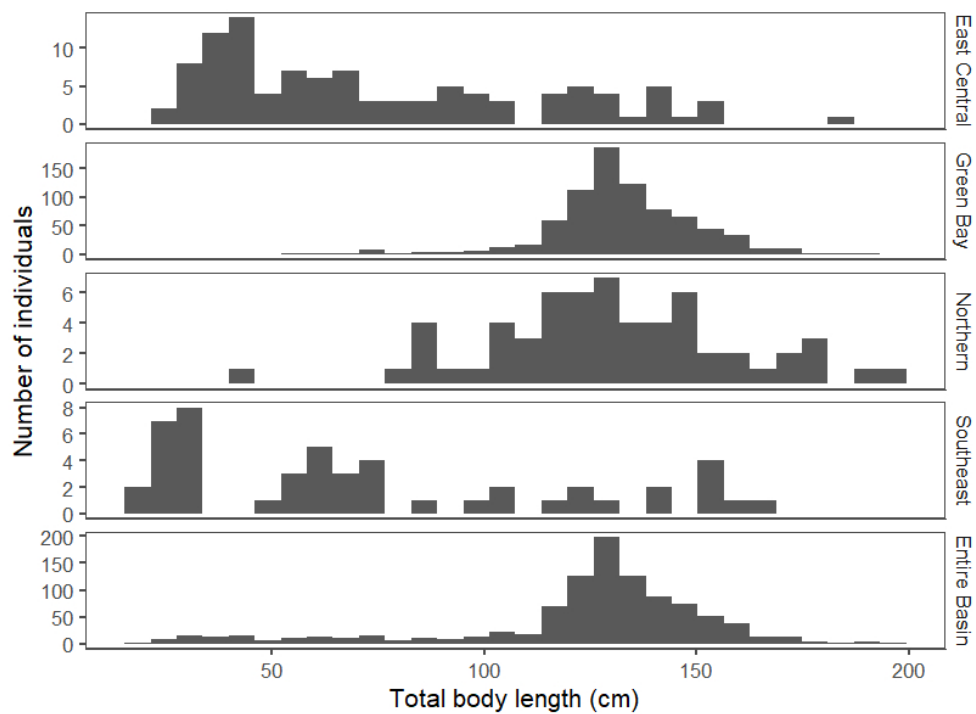


Figure 3. Frequency histograms characterizing distributions of total body length (cm) for lake sturgeon sampled in different regions in the Lake Michigan basin.

549x397mm (38 x 38 DPI)

