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The U.S. Government's Global Hunger & Food Security Initiative

# **The Kaleidoscope Model of Policy Change**

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**IFPRI**

Feed the Future Innovation Lab for Food Security Policy

Conceptualizing Drivers of Agriculture and Nutrition Policy Change through  
the Kaleidoscope Model:

Consultative workshop on micronutrient policy change

21 and 22<sup>nd</sup> September 2016, Rozenhof Guest House, Pretoria



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## Outline

- 1) Background and the need to study the policy and policy process
- 2) Introduction to the policy process literature
- 3) The kaleidoscope Model
- 4) Five stages and 16 Hypotheses
- 5) Conclusions



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## Background and the Policy Process Literature

- How to improve the current development outcomes? (MDGs, SDGs)
- How to change policies to make change in a society?
- We need an understanding of how policies are made and implemented in different settings?
- Who is involved, how do they work together, how they influence are affected by policies?
- What is the process of policy making? Is it by stroke of a pen? Does it involve long debates and dialogues? Is it transparent, strategic, consistent, and sustainable?
- How to study the policy process so that we can understand the way policies are made and can help in improving the process itself?
- Do we have an approach or a model, or a framework to study policy change process?





## OPERATIONAL EXAMPLES AND ASSUMPTIONS

- **Changes in Payoff Matrix:** Structural adjustment programs • CAADP investment plans
- **Empirical Evidence:** • ReSAKSS • Fewsnets • Food security portal • DHS data program
- **Emulating Success Stories:** • Abuja Fertilizer Summit • SUN initiative
- **High Level Commitments and Top Down Negotiations:** • New Alliance agreements • Maputo Declaration
- **Institutional Architecture:** • Joint sector reviews • GAFSP • Feed the Future program
- **Champions of Change:** • Africa Lead • AGRA policy champions • Transform Nutrition champions





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## POLICY PROCESS LITERATURE

### Some Existing Frameworks ( Resnick et al, 2014) :

- **Multiple streams**
- **Punctuated equilibrium**
- **Learning from others**
- **Policy networks theory**
- **Advocacy coalitions**
- **Institutional Development**



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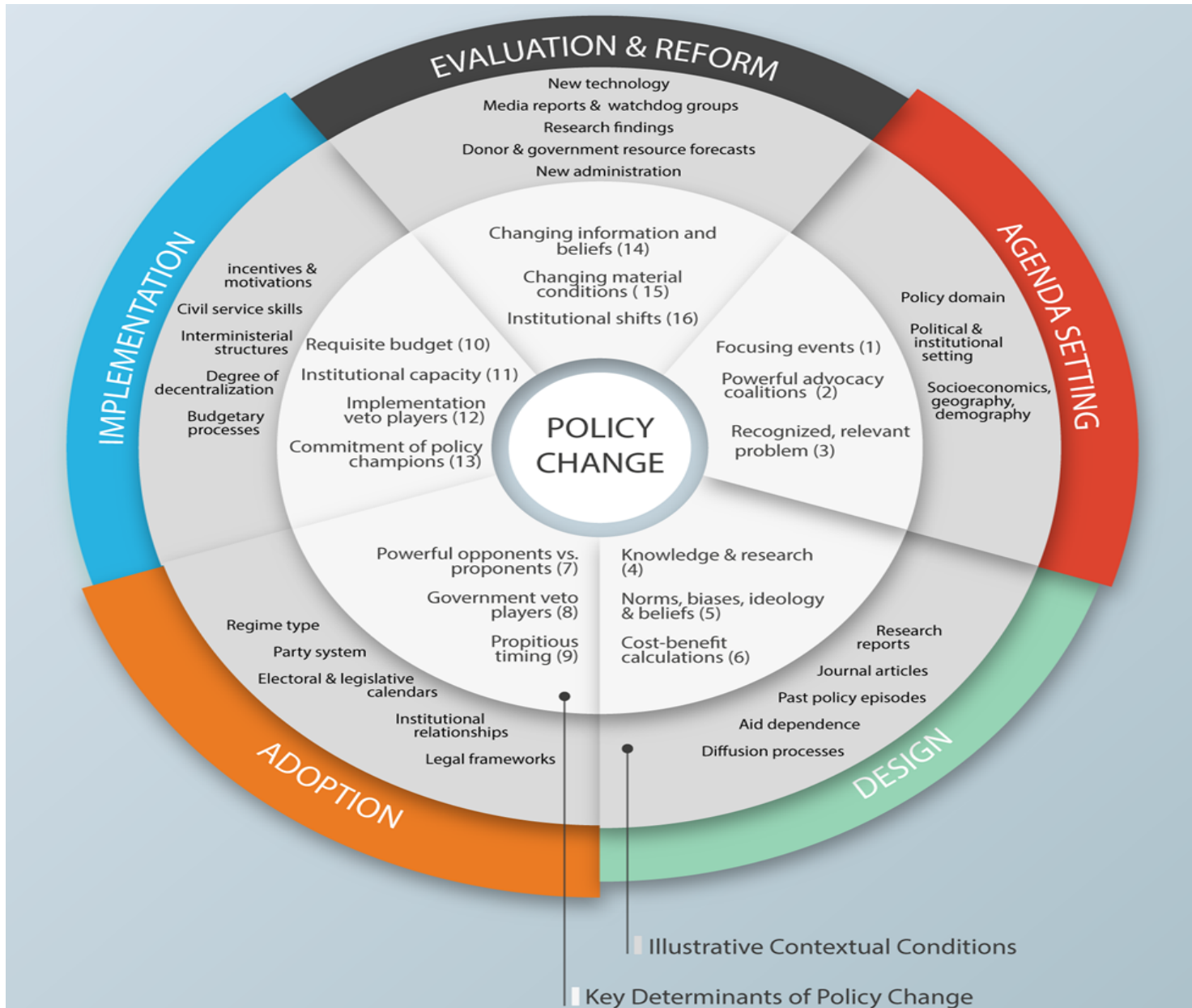


## The Kaleidoscope Model

- Based on the review of existing approaches
- Takes into account the policy change process in the development policy contexts in developing countries
- What are the key drivers of change in several policy process contexts
- What conditions allow policy change to happen?



# Kaleidoscope Model





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## In more detail...



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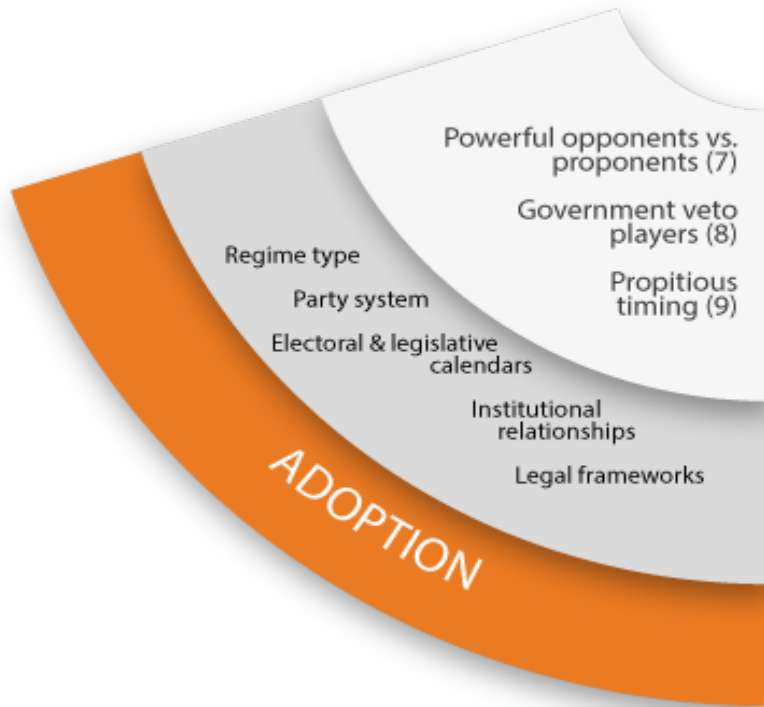




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## 5 STAGES AND 16 HYPOTHESES

**Agenda setting:** Focusing Event; Powerful advocates; Recognized, relevant problem

**Design Stage:** Knowledge, research and ideas; Norms, ideology, beliefs, Cost-benefit, risk calculations

**Adoption:** Powerful opponents, proponents; government Veto players; propitious timing

**Implementation:** Requisite budget; institutional capacity; implementing stage veto; policy commitment

**Evaluation and Reform:** Changing information and belief; changing material conditions; Institutional changes





## Analytical Tools

**Policy chronologies** –process tracing by indicating whether certain events precipitated subsequent policy changes

**Agricultural policy mapping-** identifies key institutions and frameworks, regulations, procedures, etc.

**Policy domain mapping** - roles of key actors (e.g. formulation, administration, oversight, or knowledge)

**Stakeholder inventories** - identifies perceived winners and losers and their preferences

**Circle of influence graphics** - aligns stakeholders in a two dimensional space to map their preferences vis-à-vis a policy with their power





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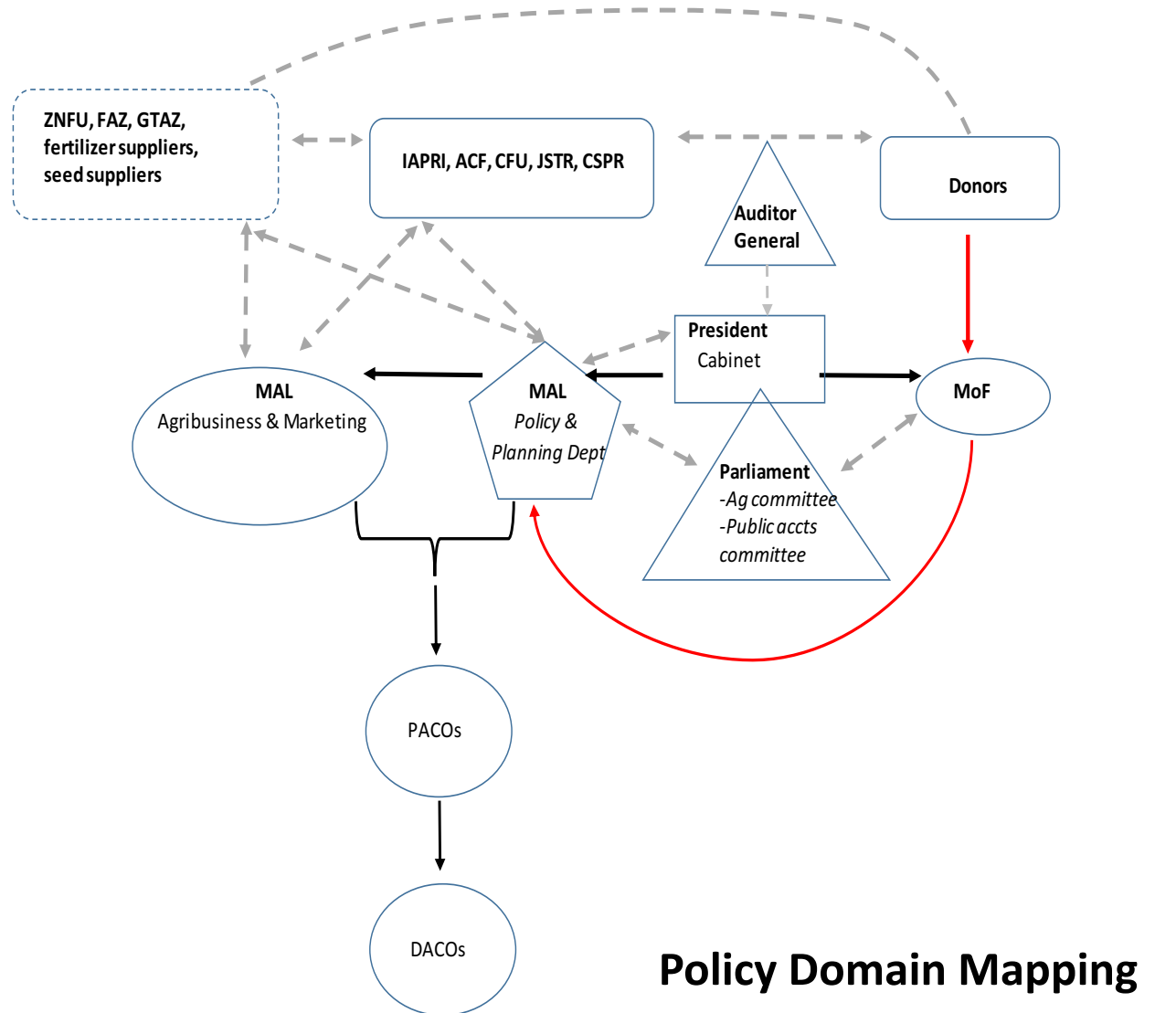
## CATEGORIES OF ACTORS

Non-governmental stakeholders

Government actors

Sub-national actors

## ROLES, FLOWS, and RELATIONSHIPS



## LEGEND

### Primary Roles

- Veto player institution
- Policy design
- Policy implementation
- Oversight
- Policy guidance
- Policy lobbying

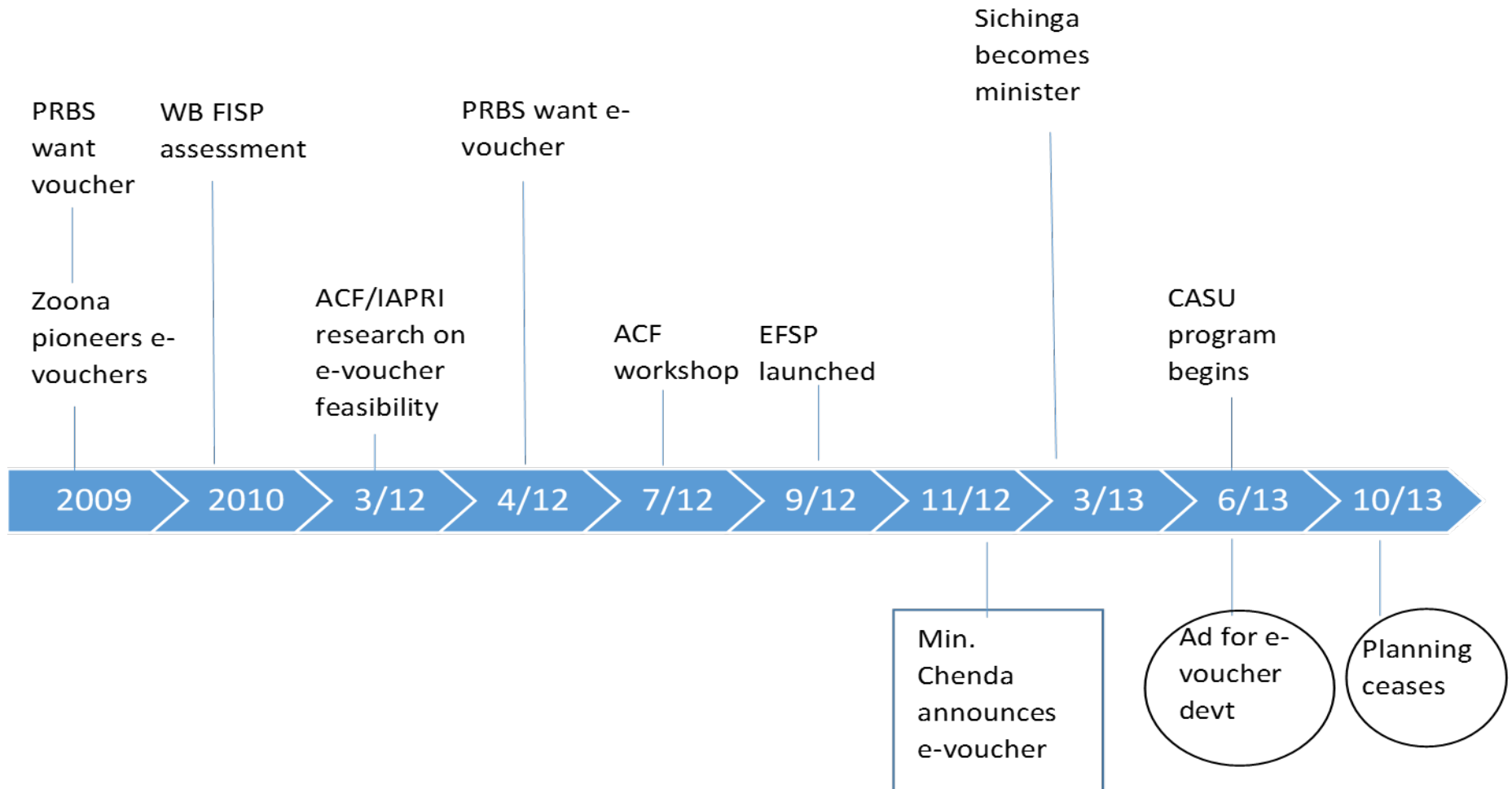
### Primary Functions & Flows

- Financial
- Authority
- Information

## Policy Domain Mapping

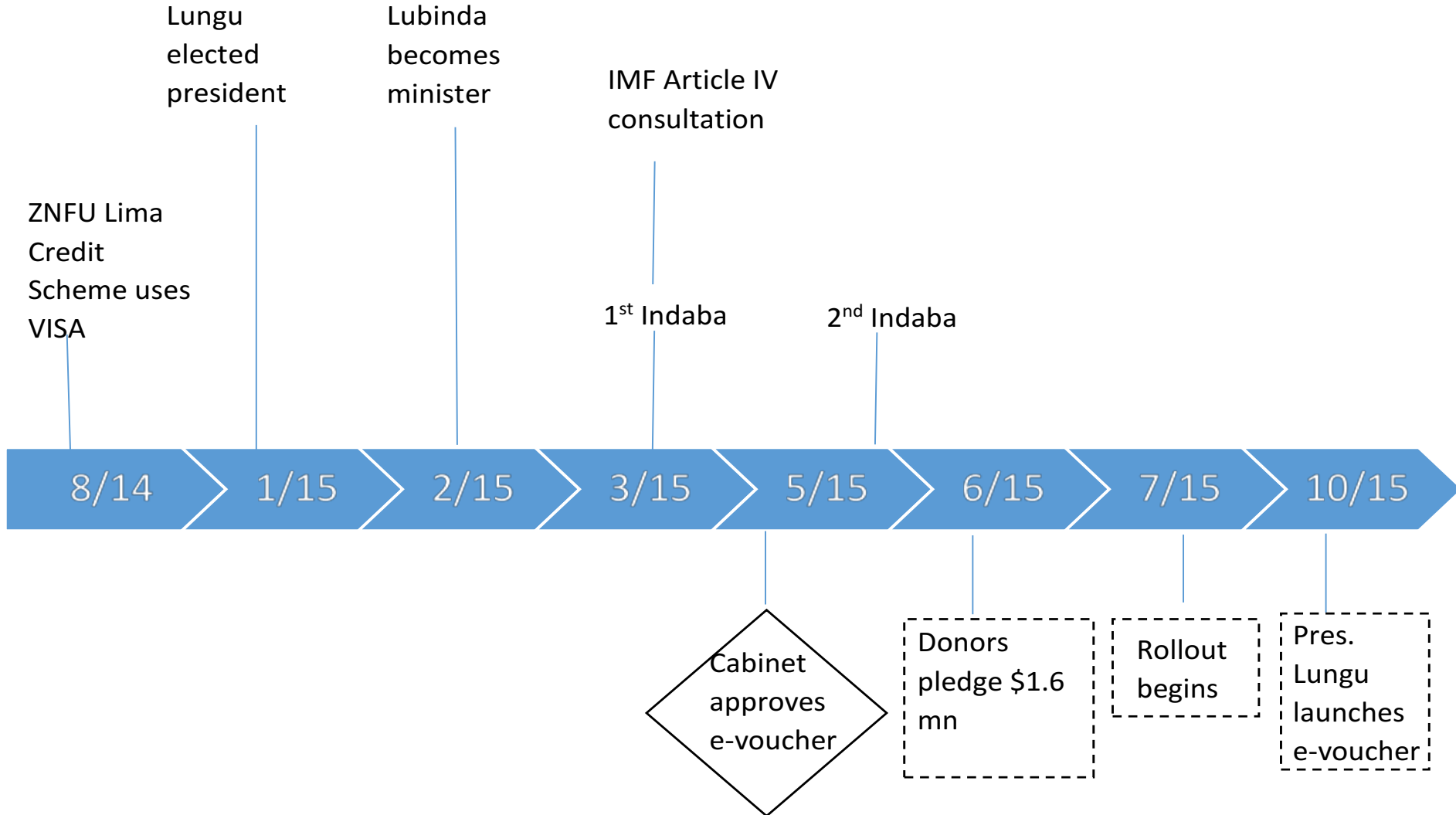


## Policy Chronology (Round 1)





## Policy Chronology (Round 2)





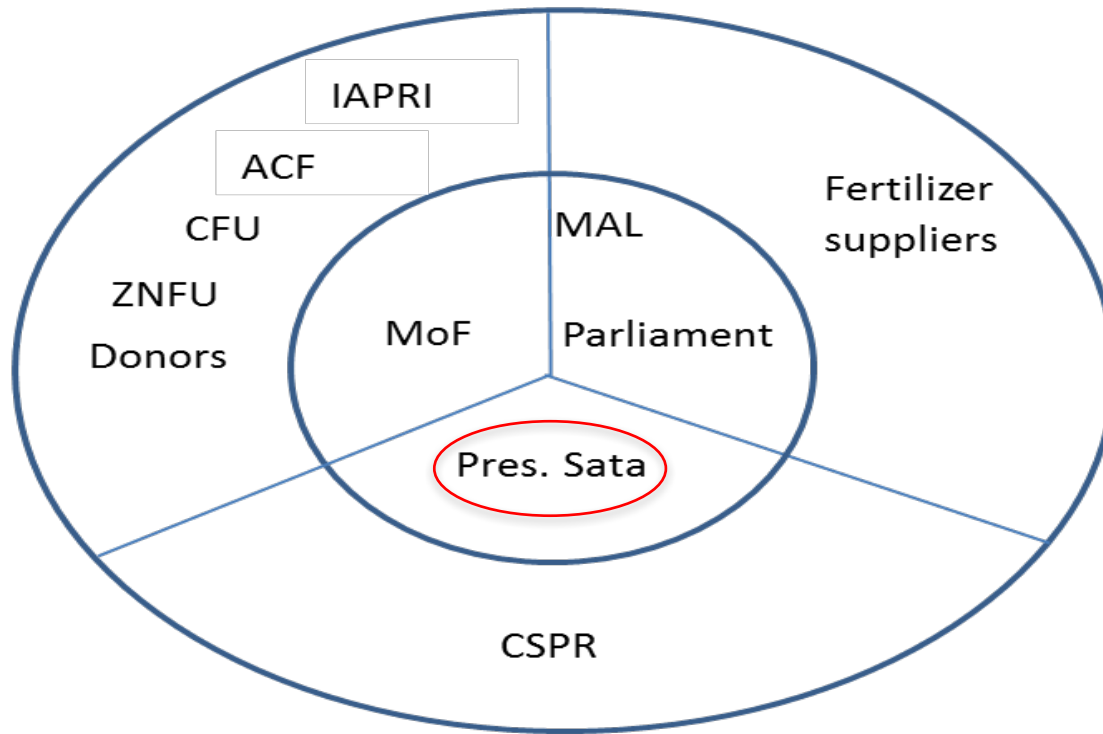
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## Positions in 2012-2013

*Support*

*Oppose*



*Neutral*





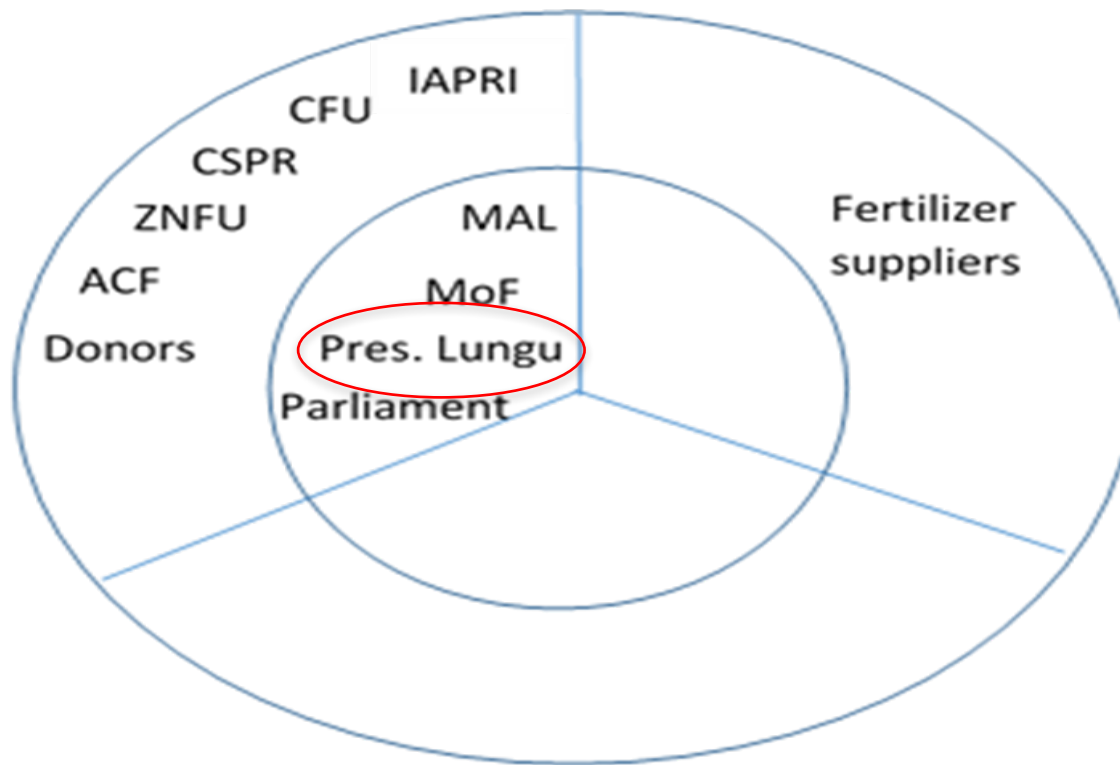
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## Positions in 2015

*Support*

*Oppose*



*Neutral*



## Conclusions

- KM framework can help in analysis the process and policy change
- How to learn from one country to another and how to learn from one policy process to another in the same country
- Can we explain how various internal and external actors and players play their role based on their interests and ideas
- How various factors come together to result in a specific state of policy and impact





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